## FRIEZES, STRINGS AND CLUSTER VARIABLES

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ABSTRACT. To any walk in a quiver, we associate a Laurent polynomial. When the walk is the string of a string module over a 2-Calabi-Yau tilted algebra, we prove that this Laurent polynomial coincides with the corresponding cluster character of the string module, up to a normalising monomial factor that we explicit.

## Introduction

In the early 2000, S. Fomin and A. Zelevinsky introduced the class of cluster algebras with the purpose of building a combinatorial framework for studying total positivity in algebraic groups and canonical bases in quantum groups (see [29]). Since then, the study of cluster algebras was shown to be connected to several areas of mathematics, notably combinatorics, Lie theory, Poisson geometry, Teichmüller theory, mathematical physics and representation theory of algebras.

A cluster algebra is a commutative algebra generated by a set of variables, called cluster variables, obtained recursively by a combinatorial process known as mutation, starting from an initial set of cluster variables, the initial cluster, and a quiver without cycles of length at most two. One of the most remarkable facts about cluster variables is that they can be expressed as Laurent polynomials in terms of the initial cluster variables [29], this is the so-called Laurent phenomenon. Also, it is conjectured that the coefficients in this expression are always non-negative, this is the positivity conjecture. The problem of computing explicitly the cluster variables is a difficult one and is the object of a wide literature. The most general results known at the present time are in [32, 49, 46].

In order to compute cluster variables, one may use friezes. Friezes, which go back to works of Coxeter and Coxeter-Conway [22, 20, 21], are an efficient combinatorial tool which mimics the application of mutations on sinks or sources of the given quiver, hence an obvious combinatorial connection with cluster algebras [18, 15, 52, 44, 5]. It is now known that connections between friezes and cluster algebras are deeper than just combinatorics, see for instance [26, 4, 40, 8, 31, 43]. Our starting point for the present paper was the result in [5] giving an explicit formula as a product of  $2 \times 2$  matrices for all cluster variables in coefficient-free cluster algebras of type  $\mathbb{A}$  and all but finitely many cluster variables in coefficient-free cluster algebras of type  $\mathbb{A}$ , thus explaining at the same time the Laurent phenomenon and positivity. Our objective here is to show that the same technique can be used for computing the cluster variables associated to the string modules over a 2-Calabi-Yau tilted algebra (in the sense of [54]).

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Besides friezes, our second main tool is the notion of a cluster character. In [15], Caldero and Chapoton noticed that cluster variables in simply-laced coefficient-free cluster algebras of finite type can be expressed as generating series of Euler-Poincaré characteristics of Grassmannians of submodules. Generalising this work, Caldero-Keller [17], Palu [48] and Fu-Keller [32] introduced the notion of a cluster character associating to each module M over a 2-Calabi-Yau tilted algebra  $B_T$  a certain Laurent polynomial  $X_M^T$  allowing to compute a corresponding cluster variable (see also [49]). In general, cluster characters are hard to compute because one first needs to find the Euler characteristics of Grassmannians of submodules, and then the dimensions of certain Hom-spaces in the corresponding 2-Calabi-Yau category.

One class of algebras, however, whose representation theory is reasonably well-understood is the class of string algebras, introduced by Butler and Ringel in [14] (see also [59]). In particular, indecomposable modules over string algebras are partitioned into two sets: string and band modules, and only string modules can be associated to cluster variables. The Euler characteristics of Grassmannians of submodules of string modules were computed by Cerulli and Poettering [19, 51]. Nevertheless, their methods do not allow to compute explicitly the associated cluster character.

The main result of this paper gives an explicit formula for the cluster character associated to a string module over a 2-Calabi-Yau tilted algebra. This can be stated as follows. To any walk c in a locally finite quiver Q, we associate a Laurent polynomial  $L_c$  in the ring of Laurent polynomials in the indeterminates  $x_i$  indexed by the set of points of Q, which can be expressed as a product of  $2 \times 2$  matrices as in [5] (see Section 1.3 below). Now, we let T be a tilting object in a Hom-finite triangulated 2-Calabi-Yau category  $\mathscr C$  and  $B_T = \operatorname{End}_{\mathscr C}(T)$  the corresponding 2-Calabi-Yau tilted algebra whose ordinary quiver is denoted by Q. Moreover, to any string  $B_T$ -module M, we associate a tuple of integers  $\mathbf n_M = (n_i)_{i \in Q_0}$  which we call the normalisation of M (see Section 5.2), and the Laurent polynomial  $L_M$  which is just the Laurent polynomial  $L_c$  attached to the string c of d in the quiver d0. Using the notation  $\mathbf x^{\mathbf n_M} = \prod_{i \in Q_0} x_i^{n_i}$ , our main result (Theorem 5.11 below) can be stated as saying that:

 $X_M^T = \frac{1}{\mathbf{x}^{\mathbf{n}_M}} L_M.$ 

This result entails several interesting consequences. We first obtain the positivity of the Laurent polynomial  $X_M^T$  for any string  $B_T$ -module M (see Corollary 6.4), thus reproving a result of Cerulli and Poettering [19, 51]. Our results also apply to the cases of string modules over cluster-tilted algebras, string modules over gentle algebras arising from unpunctured surfaces (see [2]) and, more generally, string modules over finite dimensional Jacobian algebras associated to quivers with potentials in the sense of [23]. We also obtain a new proof of the positivity conjecture for cluster algebras arising from surfaces without punctures, see [56, 55].

The paper is organised as follows. Section 1 introduces the basic definitions and presents our combinatorial formula. Section 2 introduces the concept of realisable quadruples which is the context in which our formula will actually compute cluster characters. This is closely related to the notion of triangulated 2-Calabi-Yau realisation in the sense of Fu and Keller [32]. Section 3 recalls all the necessary background from [48, 32] concerning cluster characters. Sections 4 and 5 contain the proof of our main result. In Section 4, we actually prove a weaker version of our theorem which will be used in order to prove the general case in Section 5. In

Section 6 we present possible applications of the results to the study of positivity in cluster algebras. In Section 7, we investigate the normalising factor and explicitly compute it for several cases of string modules over cluster-tilted algebras. The last section presents several detailed examples.

## 1. The matrix formula

1.1. **Notations.** Throughout the article, **k** denotes an algebraically closed field. Given a quiver Q, we denote by  $Q_0$  its set of points and by  $Q_1$  its set of arrows. For any arrow  $\alpha \in Q_1$ , we denote by  $s(\alpha)$  its *source* and  $t(\alpha)$  its *target*. We sometimes simply write  $\alpha: s(\alpha) \to t(\alpha)$  or  $s(\alpha) \xrightarrow{\alpha} t(\alpha)$ . For any point  $i \in Q_0$ , we set

$$Q_1(i,-) = \{ \alpha \in Q_1 \mid s(\alpha) = i \}, \ Q_1(-,i) = \{ \alpha \in Q_1 \mid t(\alpha) = i \}$$

and if F is a subset of points in  $Q_0$ , we set

$$Q_1(i, F) = \{ \alpha \in Q_1 \mid s(\alpha) = i, \ t(\alpha) \in F \}, \ Q_1(F, i) = \{ \alpha \in Q_1 \mid s(\alpha) \in F, \ t(\alpha) = i \}$$
  
and finally, for any  $i, j \in Q_0$ , we set  $Q_1(i, j) = Q_1(i, -) \cap Q_1(-, j)$ .

To any quiver Q, we associate a family  $\mathbf{x}_Q = \{x_i | i \in Q_0\}$  of indeterminates over  $\mathbb{Z}$ . We set  $\mathcal{L}(\mathbf{x}_Q) = \mathbb{Z}[x_i^{\pm 1} | i \in Q_0]$  to be the ring of Laurent polynomials in the variables  $x_i$ , with  $i \in Q_0$  and  $\mathcal{F}(\mathbf{x}_Q) = \mathbb{Q}(x_i | i \in Q_0)$  to be the field of rational functions in the variables  $x_i$ , with  $i \in Q_0$ . For any  $\mathbf{d} = (d_i)_{i \in Q_0} \in \mathbb{Z}^{Q_0}$ , we set  $\mathbf{x}_Q^{\mathbf{d}} = \prod_{i \in Q_0} x_i^{d_i}$ .

A bound quiver is a pair (Q, I) such that Q is a finite quiver (that is,  $Q_0$  and

A bound quiver is a pair (Q, I) such that Q is a finite quiver (that is,  $Q_0$  and  $Q_1$  are finite sets) and I is an admissible ideal in the path algebra  $\mathbf{k}Q$  of Q. Given a finite dimensional  $\mathbf{k}$ -algebra B, there exists a bound quiver (Q, I) such that  $B \simeq \mathbf{k}Q/I$  and the quiver Q is called the ordinary quiver of B (see for instance, [6] or [7]). We always identify the category mod-B of finitely generated right B-modules with the category  $\operatorname{rep}(Q, I)$  of  $\mathbf{k}$ -representations of Q satisfying the relations in I. For a B-module M, we denote by M(i) the  $\mathbf{k}$ -vector space at the point  $i \in Q_0$  and  $M(\alpha)$  the  $\mathbf{k}$ -linear map at the arrow  $\alpha \in Q_1$ .

1.2. Walks and strings. Let Q be a quiver. As in [14], for any arrow  $\beta \in Q_1$ , we denote by  $\beta^{-1}$  a formal inverse for  $\beta$ , with  $s(\beta^{-1}) = t(\beta)$ ,  $t(\beta^{-1}) = s(\beta)$  and we set  $(\beta^{-1})^{-1} = \beta$ .

A walk of length  $n \ge 1$  in Q is a sequence  $c = c_1 \cdots c_n$  where each  $c_i$  is an arrow or a formal inverse of an arrow and such that  $t(c_i) = s(c_{i+1})$  for any  $i \in \{1, \ldots, n-1\}$ . The source of the walk c is  $s(c) = s(c_1)$  and the target of the walk c is  $t(c) = t(c_n)$ . We define a walk  $e_i$  of length zero for any point  $i \in Q_0$  such that  $s(e_i) = t(e_i) = i$ . For any walk c, we denote by  $c_0$  the walk of length zero  $c_0 = e_{s(c)}$ .

If (Q, I) is a bound quiver, a *string* in (Q, I) is either a walk of length zero or a walk  $c = c_1 \cdots c_n$  of length  $n \geq 1$  such that  $c_i \neq c_{i+1}^{-1}$  for any  $i \in \{1, \ldots, n-1\}$  and such that no walk of the form  $c_i c_{i+1} \cdots c_t$  nor its inverse belongs to I for  $1 \leq i$  and  $t \leq n$ . If Q is a quiver, a *string* in the quiver Q is a string in the bound quiver (Q, (0)). If  $B \simeq \mathbf{k}Q/I$  is a finite dimensional  $\mathbf{k}$ -algebra and c is a string in (Q, I), then we also say that c is a *string* in B.

To any string in a finite dimensional **k**-algebra B, we can naturally associate an indecomposable finite dimensional right B-module, called *string module* as in [14, §3]. Namely, if B has ordinary quiver Q and c is either a string of length zero or a

string of positive length of the form  $c = c_1 \dots c_n$ , the corresponding string module is the module  $M_c$  obtained by setting for any  $x \in Q_0$ ,

$$M_c(x) = \begin{cases} \mathbf{k} & \text{if } x = t(c_i) \text{ for some } i \in \{0, \dots, n\}, \\ 0 & \text{otherwise.} \end{cases}$$

and for any  $\alpha \in Q_1$ ,

$$M_c(\alpha) = \begin{cases} 1_{\mathbf{k}} & \text{if there exists } i \in \{1, \dots, n\} \text{ such that } \alpha = c_i^{\pm 1}, \\ 0 & \text{otherwise.} \end{cases}$$

A string module is also called a *string representation* of the corresponding bound quiver. For any string module M, we denote by  $\mathbf{s}(M)$  the corresponding string.

1.3. A formula for walks. For any locally finite quiver Q, we define a family of matrices with coefficients in  $\mathbb{Z}[\mathbf{x}_Q] = \mathbb{Z}[x_i \mid i \in Q_0]$  as follows.

For any arrow  $\beta \in Q_1$ , we set

$$A(\beta) = \left[ \begin{array}{cc} x_{t(\beta)} & 0 \\ 1 & x_{s(\beta)} \end{array} \right] \text{ and } A(\beta^{-1}) = \left[ \begin{array}{cc} x_{t(\beta)} & 1 \\ 0 & x_{s(\beta)} \end{array} \right].$$

Let  $c = c_1 \cdots c_n$  be a walk of length  $n \ge 1$  in Q. For any  $i \in \{0, \dots, n\}$  we set

$$v_{i+1} = t(c_i)$$

(still with the notation  $c_0 = e_{s(c)}$ ) and

$$V_c(v_i) = \begin{bmatrix} \prod_{\substack{\alpha \in Q_1(v_i, -) \\ \alpha \neq c_i^{\pm 1}, c_{i-1}^{\pm 1} \\ 0} & \prod_{\substack{\alpha \in Q_1(-, v_i) \\ \alpha \neq c_i^{\pm 1}, c_{i-1}^{\pm 1} \end{bmatrix}} x_{s(\alpha)} \end{bmatrix}.$$

We then set

$$L_c = \frac{1}{x_{v_1} \cdots x_{v_{n+1}}} \begin{bmatrix} 1, 1 \end{bmatrix} V_c(v_1) \left( \prod_{i=1}^n A(c_i) X_c(v_{i+1}) \right) \begin{bmatrix} 1 \\ 1 \end{bmatrix} \in \mathcal{L}(\mathbf{x}_Q).$$

If  $c = e_i$  is a walk of length 0 at a point i, we similarly set

$$V_{e_i}(i) = \begin{bmatrix} \prod_{\alpha \in Q_1(i,-)} x_{t(\alpha)} & 0 \\ 0 & \prod_{\alpha \in Q_1(-,i)} x_{s(\alpha)} \end{bmatrix}.$$

and

$$L_{e_i} = \frac{1}{x_i} \begin{bmatrix} 1,1 \end{bmatrix} V_{e_i}(i) \begin{bmatrix} 1\\1 \end{bmatrix} \in \mathcal{L}(\mathbf{x}_Q).$$

In other words, if c is any walk, either of length zero, or of the form  $c = c_1 \cdots c_n$ , we have

$$(1.1) L_c = \frac{1}{\prod_{i=0}^n x_{t(c_i)}} \begin{bmatrix} 1,1 \end{bmatrix} \left( \prod_{i=0}^n A(c_i) V_c(t(c_i)) \right) \begin{bmatrix} 1\\1 \end{bmatrix} \in \mathcal{L}(\mathbf{x}_Q)$$

with the convention that  $A(c_0)$  is the identity matrix.

# **Example 1.1.** Consider the quiver

$$Q: \qquad 1 \xrightarrow{\alpha} 2 \xrightarrow{\delta} 3 \xrightarrow{\epsilon} 5$$

and consider the path  $c = \delta^{-1}\beta\gamma$  in Q. Then,

$$L_{c} = \frac{1}{x_{2}x_{3}^{2}x_{4}} \left( \begin{bmatrix} 1,1 \end{bmatrix} \begin{bmatrix} x_{5} & 0 \\ 0 & x_{4} \end{bmatrix} \begin{bmatrix} x_{3} & 1 \\ 0 & x_{2} \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & x_{1} \end{bmatrix} \right)$$

$$\begin{bmatrix} x_{4} & 0 \\ 1 & x_{2} \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} x_{3} & 0 \\ 1 & x_{4} \end{bmatrix} \begin{bmatrix} x_{5} & 0 \\ 0 & x_{2} \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right)$$

$$= \frac{x_{1}x_{2}^{3}x_{4}^{2} + 2x_{1}x_{2}^{2}x_{4}x_{5} + x_{1}x_{2}x_{3}x_{4}x_{5} + x_{3}^{2}x_{4}x_{5}^{2} + x_{1}x_{2}x_{5}^{2} + x_{1}x_{3}x_{5}^{2}}{x_{2}x_{3}^{2}x_{4}}$$

# 1.4. A formula for string modules.

**Definition 1.2.** Let B be a finite dimensional **k**-algebra with bound quiver (Q, I) and let M be a string B-module with corresponding string  $\mathbf{s}(M)$ . We set

$$L_M = L_{\mathbf{s}(M)} \in \mathcal{L}(\mathbf{x}_Q).$$

If Q is a quiver, a subquiver R of Q is a quiver R such that  $R_0 \subset Q_0$  and such that for any  $i, j \in R_0$ , the set of arrows from i to j in  $R_1$  is a subset of the set of arrows from i to j in  $Q_1$ . If R is a subquiver of Q, we naturally identify  $\mathcal{L}(\mathbf{x}_R)$  with a subring of  $\mathcal{L}(\mathbf{x}_Q)$ .

A subquiver R of Q is called a *full subquiver* if for all  $i, j \in R_0$  the set of arrows from i to j in  $R_1$  equals the set of arrows from i to j in  $Q_1$ . If B is a finite dimensional **k**-algebra with bound quiver (Q, I) and M is a B-module, the *support* of M is the full subquiver  $\sup(M)$  of Q consisting of the points  $i \in Q_0$  such that  $M(i) \neq 0$ . The *closure of the support of* M is the full subquiver  $\sup(M)$  of Q formed by the points  $i \in Q_0$  which are in the support of M or such that there exists an arrow  $\alpha$  such that  $s(\alpha) \in \sup(M)_0$  and  $s(\alpha) = i$  or  $t(\alpha) \in \sup(M)_0$  and  $s(\alpha) = i$ .

With these identifications, if B is a finite dimensional **k**-algebra and M is a string B-module, then

$$L_M \in \mathscr{L}(\mathbf{x}_{\overline{\operatorname{supp}(M)}}).$$

# 2. Realisable quadruples

In the previous section we associated to any string module M over a finite dimensional algebra B a certain Laurent polynomial  $L_M$ . In this section we provide a context in which the algebra B arises in connection with some cluster algebras so that we can compare the Laurent polynomials  $L_M$  with cluster variables or, more generally, with cluster characters. The context in which we work is the context of triangulated 2-Calabi-Yau realisations introduced in [32].

2.1. **Definitions.** An *ice quiver* is a pair  $(\mathcal{Q}, F)$  such that  $\mathcal{Q}$  is a finite connected quiver without loops and 2-cycles and F is a (possibly empty) subset of points of  $\mathcal{Q}_0$ , called *frozen points*, such that there are no arrows between points in F. The *unfrozen part* of  $(\mathcal{Q}, F)$  is the full subquiver of  $\mathcal{Q}$  obtained by deleting the points in F.

For any quiver Q, we denote by  $B(Q) = (b_{ij}) \in M_{Q_0}(\mathbb{Z})$  the skew-symmetric matrix defined for any  $i, j \in Q_0$  by

$$b_{ij} = |Q_1(i,j)| - |Q_1(j,i)|,$$

that is,  $b_{ij}$  equals the difference between the number of arrows from i to j in  $Q_1$  and the number of arrows from j to i in  $Q_1$ .

If  $(\mathcal{Q}, F)$  is an ice quiver with unfrozen part Q, then we can fix an ordering of the points in  $\mathcal{Q}_0$  such that

$$B(\mathcal{Q}) = \left[ \begin{array}{cc} B(Q) & -C^t \\ C & 0_{F \times F} \end{array} \right]$$

where C is a matrix in  $M_{F\times Q_0}(\mathbb{Z})$ .

For any ice quiver  $(\mathcal{Q}, F)$  with unfrozen part Q, we denote by  $\mathscr{A}(\mathcal{Q}, F)$  the cluster algebra of geometric type with initial seed  $(\widetilde{B}(\mathcal{Q}), \mathbf{x}, \mathbf{y})$  where

$$\widetilde{B}(\mathcal{Q}) = \left[ \begin{array}{c} B(Q) \\ C \end{array} \right],$$

 $\mathbf{x} = (x_i, i \in Q_0) \text{ and } \mathbf{y} = (x_i, i \in F) \text{ (see [30])}.$ 

A **k**-linear category  $\mathscr C$  is called Hom-finite if  $Hom_{\mathscr C}(M,N)$  is a finite dimensional **k**-vector space for any two objects M,N in  $\mathscr C$ . A **k**-linear triangulated category  $\mathscr C$  with suspension functor [1] is called 2-Calabi-Yau if the square [2] of the suspension functor is a Serre functor in  $\mathscr C$ . This implies that there is a bifunctorial isomorphism

$$\operatorname{Hom}_{\mathscr{C}}(X,Y) \simeq D\operatorname{Hom}_{\mathscr{C}}(Y,X[2])$$

where  $D = \text{Hom}_{\mathbf{k}}(-, \mathbf{k})$  is the standard duality.

For any objects M, N in  $\mathscr{C}$ , we denote by  $\operatorname{Ext}^1_{\mathscr{C}}(M, N)$  the space  $\operatorname{Hom}_{\mathscr{C}}(M, N[1])$ . An object M in the category  $\mathscr{C}$  is called  $\operatorname{rigid}$  if  $\operatorname{Ext}^1_{\mathscr{C}}(M, M) = 0$ . An object T in  $\mathscr{C}$  is called a  $\operatorname{tilting}$  object in  $\mathscr{C}$  if it is maximal and rigid, that is, if for any object X in  $\mathscr{C}$ , the equality  $\operatorname{Ext}^1_{\mathscr{C}}(T, X) = 0$  is equivalent to the fact that X belongs to the additive subcategory add T of  $\mathscr{C}$ . It is known that the combinatorics of cluster algebras are closely related to the combinatorics of tilting objects in triangulated 2-Calabi-Yau categories [9].

**Definition 2.1.** A realisable quadruple is a quadruple  $(\mathcal{Q}, F, \mathcal{C}, T)$  such that :

- a)  $(\mathcal{Q}, F)$  is an ice quiver;
- b)  $\mathscr{C}$  is a Hom-finite triangulated 2-Calabi-Yau category whose tilting objects form a cluster structure in the sense of [9];
- c) T is a tilting object in  $\mathscr{C}$ ;
- d) the ordinary quiver of  $B_T = \operatorname{End}_{\mathscr{C}}(T)$  is  $\mathscr{Q}$ .

Following Reiten [54], every algebra of the form  $\operatorname{End}_{\mathscr{C}}(T)$  as above is called a 2-Calabi-Yau tilted algebra. In order to simplify terminology, a category  $\mathscr{C}$  as above is simply called a triangulated 2-Calabi-Yau category.

**Definition 2.2.** Given a realisable quadruple  $(\mathcal{Q}, F, \mathcal{C}, T)$ , a  $B_T$ -module M is called:

- a) unfrozen if  $M(i) \neq 0$  implies  $i \notin F$ ;
- b) unfrozen indecomposable if it is unfrozen and indecomposable as a  $B_T$ -module;
- c) unfrozen sincere if  $M(i) \neq 0$  if and only if  $i \notin F$ .

2.2. **Examples.** The notion of realisable quadruple covers a lot of situations in the context of cluster algebras. We now list some examples of such situations.

**Example 2.3.** Let Q be an acyclic quiver and let  $D^b(\operatorname{mod-k}Q)$  be the bounded derived category of  $\operatorname{mod-k}Q$ . It is a triangulated category with suspension functor [1] and Auslander-Reiten translation  $\tau$ . The functor  $\tau^{-1}[1]$  is an auto-equivalence of  $D^b(\operatorname{mod-k}Q)$  and the orbit category  $\mathscr{C} = D^b(\operatorname{mod-k}Q)/\tau^{-1}[1]$  of this functor is called the cluster category of Q. This category was first defined in [11] (see also [16] for an alternative description in Dynkin type  $\mathbb{A}$ ). It is canonically equipped with a structure of triangulated 2-Calabi-Yau category [36, 11]. For any tilting object T in  $\mathscr{C}$ , an algebra of the form  $\operatorname{End}_{\mathscr{C}}(T)$  is called a cluster-tilted algebra, as defined in [12] (see also [16] for Dynkin type  $\mathbb{A}$ ). Thus, if  $Q_T$  is the ordinary quiver of the cluster-tilted algebra  $\operatorname{End}_{\mathscr{C}}(T)$ , the quadruple  $(Q_T, \emptyset, \mathscr{C}, T)$  is realisable and every  $B_T$ -module is unfrozen.

Example 2.4. Let (Q, W) be a Jacobi-finite quiver with potential, that is, a quiver with potential in the sense of [23] such that the Jacobian algebra  $\mathscr{J}_{(Q,W)}$  is finite dimensional. Let  $\mathscr{C}_{(Q,W)}$  be the generalised cluster category constructed by Amiot [1, §3] as follows. Let  $\Lambda_{(Q,W)}$  be the Ginzburg differential graded algebra associated to the quiver with potential (Q,W) (see [35] for more details) and let  $D(\text{mod-}\Lambda_{(Q,W)})$  be its derived category. Consider the thick subcategory per  $\Lambda_{(Q,W)}$  of  $D(\text{mod-}\Lambda_{(Q,W)})$  generated by  $\Lambda_{(Q,W)}$  and let  $D^b(\text{mod-}\Lambda_{(Q,W)})$  be the full subcategory of  $D(\text{mod-}\Lambda_{(Q,W)})$  consisting of the differential graded modules whose total homology is finite dimensional. Then the generalised cluster category  $\mathscr{C}_{(Q,W)}$  is the quotient of triangulated categories per  $\Lambda_{(Q,W)}/D^b(\text{mod-}\Lambda_{(Q,W)})$ . It is a triangulated 2-Calabi-Yau category and there exists a tilting object T in  $\mathscr{C}_{(Q,W)}$  such that  $(Q,\emptyset,\mathscr{C}_{(Q,W)},T)$  is a realisable quadruple [1, Theorem 3.6].

This example is of particular interest for the study of cluster algebras arising from surfaces in the sense of [28] (see also Section 6.2 for more details). Indeed, Labardini associated a Jacobi-finite quiver with potential (Q, W) to any marked surface (S, M) with non-empty boundary [41]. Thus, the generalised cluster category  $\mathscr{C}_{(Q,W)}$  provides a categorification for the cluster algebra  $\mathscr{A}(S,M)$  associated to the surface. Moreover, if the surface is unpunctured, that is, if there are no marked points in the interior of the surface, it is known that the Jacobian algebra  $\mathscr{J}_{(Q,W)}$  is a string algebra (it is in fact a gentle algebra, see [2]). The  $\mathscr{J}_{(Q,W)}$ -modules without self-extension are thus string modules and it follows from [32] that the cluster variables in  $\mathscr{A}(S,M)$  can be studied via cluster characters associated to string  $\mathscr{J}_{(Q,W)}$ -modules (see Section 3 for details).

**Example 2.5.** Let A be a finite dimensional **k**-algebra of global dimension 2 and let  $\widetilde{Q}$  be the ordinary quiver of the relation extension of A, that is, the trivial extension of A by the A-bimodule  $\operatorname{Ext}_A^2(DA,A)$  (see for instance [3]). Then Amiot also associated to A a generalised cluster category  $\mathscr{C}_A$  and proved that there exists a tilting object T in  $\mathscr{C}_A$  such that  $(\widetilde{Q},\emptyset,\mathscr{C}_A,T)$  is a realisable quadruple [1, Theorem 4.10].

**Example 2.6.** We now give a fundamental example with a non-empty set of frozen points. For any quiver Q, we denote by  $(Q^{\operatorname{pp}}, Q'_0)$  its *principal extension*. It is defined as follows. We fix a copy  $Q'_0 = \{i' \mid i \in Q_0\}$  of  $Q_0$  and we set  $Q_0^{\operatorname{pp}} = Q_0 \sqcup Q'_0$ . The arrows in  $Q_1^{\operatorname{pp}}$  between two points in  $Q_0$  are the same as in Q and for any  $i \in Q_0$ 

we add an extra arrow  $i' \longrightarrow i$  in  $Q_1^{\text{pp}}$ . Thus, the matrix of  $Q^{\text{pp}}$  is given by

$$B(Q^{\mathrm{pp}}) = \left[ \begin{array}{cc} B(Q) & -I_{Q_0'} \\ I_{Q_0'} & 0 \end{array} \right]$$

where  $I_{Q'_0}$  denotes the identity matrix in  $M_{Q'_0}(\mathbb{Z})$ .

Now, if Q is the ordinary quiver of a cluster-tilted algebra  $B_T$ , it is known that there exists a 2-Calabi-Yau category  $\mathscr{C}^{pp}$ , endowed with a tilting object  $T^{pp}$ , obtained via a process of *principal gluing*, such that  $(Q^{pp}, Q'_0, \mathscr{C}^{pp}, T^{pp})$  is a realisable quadruple for which every  $B_T$ -module can naturally be viewed as an unfrozen module (see [32, §6.3] or Corollary 2.12).

2.3. Blown-up ice quivers and their realisations. In the proof of the main theorem of this article, we are interested in a particular family of ice quivers, called *blown-up*. We now give some details concerning these quivers.

**Definition 2.7.** We say that an ice quiver  $(\mathcal{Q}, F)$  is blown-up if  $|\mathcal{Q}_1(i, -) \cup \mathcal{Q}_1(-, i)| \leq 1$  for every point  $i \in F$ , that is, if there exists at most one arrow starting or ending at any frozen point.

**Example 2.8.** a) Any ice quiver with an empty set of frozen points is blown-up. b) If Q is any quiver, then its principal extension  $(Q^{pp}, Q'_0)$  defined in Example 2.6 is blown-up.

**Remark 2.9.** Any blown-up ice quiver  $(\mathcal{Q}, F)$  whose unfrozen part is acyclic can be embedded in a realisable quadruple  $(\mathcal{Q}, F, \mathcal{C}, T)$ . Indeed, since  $(\mathcal{Q}, F)$  is blown-up with an acyclic unfrozen part,  $\mathcal{Q}$  is also acyclic. Let thus  $\mathcal{C}$  be the cluster category of the quiver  $\mathcal{Q}$ . Then the path algebra  $\mathbf{k}\mathcal{Q}$  is identified with a tilting object in  $\mathcal{C}$  and the corresponding cluster-tilted algebra is isomorphic to  $\mathbf{k}\mathcal{Q}$  so that its ordinary quiver is  $\mathcal{Q}$ . Thus  $(\mathcal{Q}, F, \mathcal{C}, \mathbf{k}\mathcal{Q})$  is a realisable quadruple.

We can construct a wide class of examples of realisable quadruples with the following proposition, due to Amiot :

**Proposition 2.10** ([1]). If  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are two generalised cluster categories associated with Jacobi-finite quivers with potentials  $(Q_1, W_1)$  and  $(Q_2, W_2)$ , then for any matrix C with non-negative integer entries, there exists a Jacobi-finite quiver with potential (Q', W') whose generalised cluster category  $\mathcal{C}_{(Q', W')}$  has a tilting object T' such that the matrix associated to the ordinary quiver of the 2-Calabi-Yau tilted algebra  $\mathrm{End}_{\mathcal{C}_{(Q',W')}}(T')$  is

$$B' = \left[ \begin{array}{cc} B(Q_1) & -C^t \\ C & B(Q_2) \end{array} \right].$$

**Corollary 2.11.** Let  $(\mathcal{Q}, F)$  be a blown-up ice quiver with unfrozen part Q. Assume that there exists a potential W on Q such that the Jacobian algebra  $\mathcal{J}_{(Q,W)}$  is finite dimensional. Then there exists a triangulated 2-Calabi-Yau category C and a tilting object T in C such that  $(\mathcal{Q}, F, C, T)$  is a realisable quadruple.

*Proof.* We set  $\mathscr{C}^{(0)}$  the generalised cluster category associated to the quiver with potential (Q, W). According to Example 2.4, there exists a tilting object  $T^{(0)}$  in  $\mathscr{C}$  such that the quadruple  $(Q, \emptyset, \mathscr{C}^{(0)}, T^{(0)})$  is realisable.

In order to simplify notations, we write  $F = \{1, ..., m\}$ . For any  $i \in F$ , we denote by  $\mathscr{C}_{\mathbb{A}_i}$  a copy of the cluster category of type  $\mathbb{A}_1$ , which is a particular

case of generalised cluster category in the sense of Amiot. We now construct, by induction on  $i \in \{1, ..., m\}$ , a category  $\mathscr{C}^{(i)}$  with a tilting object  $T^{(i)}$  such that the ordinary quiver of the 2-Calabi-Yau tilted algebra  $\operatorname{End}_{\mathscr{C}^{(i)}}(T^{(i)})$  is the full subquiver  $(\mathscr{Q}, F)$  formed by points in Q and frozen points in  $\{1, ..., i\}$ .

Since  $(\mathcal{Q}, F)$  is blown-up, for every  $i \in \{1, \ldots, m\}$ , there exists a unique arrow  $\alpha_i \in \mathcal{Q}_1(i, -) \sqcup \mathcal{Q}_1(-, i)$ . If  $\alpha_i \in \mathcal{Q}_1(i, -)$ , we construct the category  $\mathscr{C}^{(i)}$  gluing  $\mathscr{C}^{(i-1)}$  and  $\mathscr{C}_{\mathbb{A}_i}$  as provided by Proposition 2.10 with  $\mathscr{C}_1 = \mathscr{C}^{(i-1)}$  and  $\mathscr{C}_2 = \mathscr{C}_{\mathbb{A}_i}$  and we denote by  $T^{(i)}$  the canonical tilting object in this gluing. If  $\alpha_i \in \mathcal{Q}(-, i)$ , we construct a gluing  $\mathscr{C}^{(i)}$  of  $\mathscr{C}_{\mathbb{A}_i}$  and  $\mathscr{C}^{(i-1)}$  as provided by Proposition 2.10 with  $\mathscr{C}_1 = \mathscr{C}_{\mathbb{A}_i}$  and  $\mathscr{C}_2 = \mathscr{C}^{(i-1)}$  and we denote by  $T^{(i)}$  the canonical tilting object in this gluing. We finally set  $\widetilde{\mathscr{C}} = \mathscr{C}^{(m)}$  and  $\widetilde{T} = T^{(m)}$ .

**Corollary 2.12.** Let  $(\mathcal{Q}, F)$  be a blown-up ice quiver whose unfrozen part is the ordinary quiver of a cluster-tilted algebra. Then  $(\mathcal{Q}, F)$  can be embedded in a realisable quadruple  $(\mathcal{Q}, F, \mathcal{C}, T)$ .

*Proof.* It is proved in [37] and [10] that any cluster-tilted algebra is the Jacobian algebra of a Jacobi-finite quiver with potential. The result thus follows from Corollary 2.11.

## 3. Cluster characters with coefficients

In this section, we collect some background concerning Fu-Keller's cluster characters [32]. These characters allow to give an explicit realisation of the elements in a cluster algebra in terms of the geometry and the homology underlying a 2-Calabi-Yau category.

We fix a triangulated 2-Calabi-Yau category  $\mathscr C$  with suspension functor [1] and we fix a tilting object T in  $\mathscr C$ .

We let  $\mathscr{Q}$  be the ordinary quiver of the 2-Calabi-Yau tilted algebra  $B_T = \operatorname{End}_{\mathscr{C}}(T)$ . Indecomposable direct summands of T label the points in  $\mathscr{Q}_0$ . Let F be a set of frozen points in  $\mathscr{Q}_0$ . We set  $T_F = \bigoplus_{i \in F} T_i$ . Consider the full subcategory  $\mathscr{U}$  of  $\mathscr{C}$  formed by the objects X such that  $\operatorname{Hom}_{\mathscr{C}}(T_F, X) = 0$ . Let  $(\operatorname{add} T[1])$  be the ideal consisting of those morphisms factoring through objects of  $\operatorname{add} T[1]$ .

**Theorem 3.1** ([12, 38]). The functor  $\operatorname{Hom}_{\mathscr{C}}(T, -)$  induces an equivalence

$$\operatorname{Hom}_{\mathscr{C}}(T,-): \mathscr{C}/(\operatorname{add} T[1]) \xrightarrow{\sim} \operatorname{mod-}B_T.$$

The equivalence  $\operatorname{Hom}_{\mathscr{C}}(T,-)$  induces an equivalence between  $\mathscr{U}/(\operatorname{add} T[1])$  and the subcategory of  $\operatorname{mod-}B_T$  consisting of  $B_T$ -modules supported on the unfrozen part of  $(\mathscr{Q},F)$ , which is denoted by Q. Slightly abusing notations, an object M in  $\mathscr{U}/(\operatorname{add} T[1])$  is identified with its image  $\operatorname{Hom}_{\mathscr{C}}(T,M)$  in  $\operatorname{mod-}B_T$ . Conversely, any  $B_T$ -module supported on Q is viewed as an object in  $\mathscr{U}/(\operatorname{add} T[1])$ .

For any  $i \in Q_0$ , we denote by  $S_i$  the simple  $B_T$ -module corresponding to the point i. We denote by  $\langle -, - \rangle$  the truncated Euler form on mod- $B_T$  defined by

$$\langle M, N \rangle = \dim \operatorname{Hom}_{B_T}(M, N) - \dim \operatorname{Ext}_{B_T}^1(M, N)$$

for any  $B_T$ -modules M and N. We denote by  $\langle -, - \rangle_a$  the anti-symmetrised Euler form on mod- $B_T$  defined by

$$\langle M, N \rangle_a = \langle M, N \rangle - \langle N, M \rangle$$

for any  $B_T$ -modules M and N.

**Lemma 3.2** ([48]). For any  $i \in \mathcal{Q}_0$ , the form  $M \mapsto \langle S_i, M \rangle$  on mod- $B_T$  only depends on the class [M] of M in the Grothendieck group  $K_0(\text{mod-}B_T)$  of mod- $B_T$ .

For any  $B_T$ -module M and any  $\mathbf{e} \in K_0(\text{mod-}B_T)$ , we let  $\text{Gr}_{\mathbf{e}}(M)$  denote the set of submodules N of M whose class [N] in  $K_0(\text{mod-}B_T)$  equals  $\mathbf{e}$ . This set is called the Grassmannian of submodules of M of dimension  $\mathbf{e}$ . It is a projective variety and we denote by  $\chi(\text{Gr}_{\mathbf{e}}(M))$  its Euler-Poincaré characteristic (with respect to the singular cohomology if  $\mathbf{k}$  is the field of complex numbers, and to the étale cohomology with compact support if  $\mathbf{k}$  is arbitrary).

**Definition 3.3** ([48]). The *cluster character* associated to  $(\mathcal{C}, T)$  is the unique map

$$X_{?}^{T}: \mathrm{Ob}(\mathscr{C}) \longrightarrow \mathscr{L}(\mathbf{x}_{\mathscr{Q}})$$

such that

- a)  $X_{T_i[1]} = x_i$  for any  $i \in \mathcal{Q}_0$ ;
- b) If M is indecomposable and not isomorphic to any  $T_i[1]$ ,

$$X_M^T = \sum_{\mathbf{e} \in \mathbb{N}^{\mathscr{Q}_0}} \chi(\mathrm{Gr}_\mathbf{e}(\mathrm{Hom}_\mathscr{C}(T,M))) \prod_{i \in \mathscr{Q}_0} x_i^{\langle S_i,\mathbf{e} \rangle_a - \langle S_i,\mathrm{Hom}_\mathscr{C}(T,M) \rangle} \; ;$$

c) For any two objects M, N in  $\mathscr{C}$ ,

$$X_{M \oplus N}^T = X_M^T X_N^T.$$

We recall that an indecomposable object X in  $\mathscr{C}$  is called *reachable from* T if it is a direct summand of a tilting object which can be obtained from T by a finite number of mutations, see [9]. In particular, any reachable object is rigid.

**Theorem 3.4** ([32]). The map  $X_7^T$  induces a surjection from the set of indecomposable objects in  $\mathscr C$  which are reachable from T to the set of cluster variables in the cluster algebra  $\mathscr A(\mathscr Q,F)$ .

Note that identifying  $\mathscr{C}/(\operatorname{add} T[1])$  with mod- $B_T$  using the functor  $\operatorname{Hom}_{\mathscr{C}}(T,-)$ , we associate to any indecomposable  $B_T$ -module M the cluster character of an indecomposable lifting  $\overline{M}$  of M in  $\mathscr{C}/(\operatorname{add} T[1])$ . Thus, we set

$$X_M^T = X_{\overline{M}}^T = \sum_{\mathbf{e} \in \mathbb{N}^{\mathcal{Q}_0}} \chi(\mathrm{Gr}_{\mathbf{e}}(M)) \prod_{i \in \mathcal{Q}_0} x_i^{\langle S_i, \mathbf{e} \rangle_a - \langle S_i, M \rangle}$$

and this way, we may view  $X_{\tau}^{T}$  as a map on the set of objects in mod- $B_{T}$ .

In general, it is complicated to compute explicitly the cluster character associated to a  $B_T$ -module M. If the module M is a string module, Cerulli and Poettering provided methods for computing  $\chi(\operatorname{Gr}_{\mathbf{e}}(M))$  [19, 51] but the exponents in the cluster character formula still remain to be computed. In the present article, we prove that the formula  $M \mapsto L_M$  defined in Section 1 completely solves this question for string  $B_T$ -modules.

# 4. A FORMULA FOR DYNKIN TYPE A WITH COEFFICIENTS

We now start the proof of our main result, which will be stated in Theorem 5.11. This section is devoted to the first step of the proof in which we establish the theorem for specific modules in the particular case of blown-up quivers with unfrozen part of Dynkin type A. The result we prove in this section is the following:

**Theorem 4.1.** Let  $(\mathcal{Q}, F)$  be a blown-up ice quiver with unfrozen part Q of Dynkin type  $\mathbb{A}$  and let  $(\mathcal{Q}, F, \mathcal{C}, T)$  be a realisable quadruple. Let  $B_T = \operatorname{End}_{\mathcal{C}}(T)$ . Then for any indecomposable  $B_T$ -module M, the following hold:

- a) If M is unfrozen, then it is a string module;
- b) If M is a submodule of the unique unfrozen sincere module, then the corresponding cluster character is given by

$$X_M^T = L_M.$$

Every  $B_T$ -module M supported on Q has the structure of a module over the path algebra  $H = \mathbf{k}Q$  of the quiver Q. Since Q is of Dynkin type  $\mathbb{A}$  every such module M is a string module if it is indecomposable. This proves the first point of the theorem.

To prove the second point, we need to collect the necessary background concerning the matrix product formula from [5], which we do in Section 4.1. Section 4.2 is devoted to the proof when  $(\mathcal{Q}, F)$  is the principal extension of a Dynkin quiver of type  $\mathbb{A}$ . In Section 4.3, we use Fomin-Zelevinsky separation formula in order to deduce the general case.

4.1. Background on the matrix product formula. Let Q be a Dynkin quiver of type  $\mathbb{A}_n$  with  $n \geq 1$ . Attach to each vertex i of  $Q_0$  a cluster variable  $x_i$ . Because Q is of Dynkin type  $\mathbb{A}_n$ , it is well-known that the corresponding coefficient-free cluster algebra  $\mathscr{A}(Q,\emptyset)$  is generated by  $\frac{n(n+1)}{2} + n$  cluster variables. If  $\mathscr{C}_Q$ denotes the cluster category of Q, the set of indecomposable objects in  $\mathscr{C}_Q$  can be identified with the disjoint union of the set of indecomposable kQ-modules and  $\{P_i[1] \mid i \in Q_0\}$  where  $P_i$  denotes the indecomposable  $\mathbf{k}Q$ -module associated to the point  $i \in Q_0$ . Identifying the path algebra kQ with a tilting object in  $\mathcal{C}_Q$ , the quadruple  $(Q, \emptyset, \mathscr{C}_Q, \mathbf{k}Q)$  is realisable and the associated cluster character  $M \mapsto$  $X_M = X_M^{\mathbf{k}Q}$  induces a bijection from the set of indecomposable objects in  $\mathscr{C}_Q$  to the set of cluster variables in  $\mathscr{A}(Q,\emptyset)$ . Moreover, this bijection sends each object of the form  $P_i[1]$  onto  $x_i$ , and each object of the form M in mod-kQ onto the unique cluster variable having, in its reduced form,  $\mathbf{x}^{\dim M}$  as denominator, where  $\dim M = (\dim_{\mathbf{k}} M(i)) \in \mathbb{N}^{Q_0}$  [17]. Under this identification, one can position the cluster variables of  $\mathscr{A}(Q,\emptyset)$  into a grid underlying the Auslander-Reiten quiver of  $\mathscr{C}_{O}$ . This positioning of variables on the grid corresponds to the frieze on the repetition quiver  $\mathbb{Z}Q^{\text{op}}$  associated to the opposite quiver of Q, as constructed in [5]. We illustrate this on an example.

Let Q be a Dynkin quiver of type  $\mathbb{A}_n$ , say

$$1 \xrightarrow{\alpha} 2 \xrightarrow{\beta} 3 \xrightarrow{\gamma} 4 \xrightarrow{\delta} 5 \xleftarrow{\epsilon} 6 \xleftarrow{\zeta} 7 \xleftarrow{\eta} 8 \xrightarrow{\theta} 9 \xrightarrow{\iota} 10 \xleftarrow{\kappa} 11.$$

(here n=11). The corresponding grid, in which we illustrated the cluster variables associated to the indecomposable projective  $\mathbf{k}Q$ -module  $P_i$ , and those associated to the indecomposable injective  $\mathbf{k}Q$ -module  $I_i$ , is as follows (the variable  $X_{M(u,v)}$ )

will be explained thereafter).

In [5, §8.2], the authors defined, for each cell (u, v) in the grid, a Laurent polynomial  $t_{Q^{op}}(u, v)$ , whose definition depends on the region of the grid in which the cell is located.

For the purpose of our paper, it suffices to consider only the north-west component of the grid together with its south-east asterisks frontier. It is important to observe that in  $\operatorname{mod-k}Q$ , this part of the grid contains exactly all the indecomposable submodules of the unique indecomposable sincere  $\mathbf{k}Q$ -module, which is exactly positioned at the intersection of the vertical and the horizontal lines of asterisks (see for instance [34]). This observation will be crucial in Lemma 4.2 below and in Section 5.

We give more details on this region. For any cell (u, v) located in the north-west component of the grid (and not on its south-east asterisks frontier), its horizontal and vertical projections onto the initial variables  $x_i$  determine a word  $x_{i_0}x_{i_1}\ldots x_{i_{n+1}}$ . Following [5], for each  $j=1,2,\ldots,n-1$ , let

$$M(x_{i_j}, x_{i_{j+1}}) = \begin{cases} \begin{bmatrix} x_{i_j} & 1 \\ 0 & x_{i_{j+1}} \end{bmatrix} & \text{if } x_{i_j} \text{ is to the left of } x_{i_{j+1}}, \\ \begin{bmatrix} x_{i_{j+1}} & 0 \\ 1 & x_{i_j} \end{bmatrix} & \text{if } x_{i_j} \text{ is below } x_{i_{j+1}}. \end{cases}$$

Then

$$t_{Q^{\text{op}}}(u,v) = \frac{1}{x_{i_1} \cdots x_{i_n}} [1, x_{i_0}] \left( \prod_{j=1}^{n-1} M(x_{i_j}, x_{i_{j+1}}) \right) \left[ \begin{array}{c} 1 \\ x_{i_{n+1}} \end{array} \right].$$

To determine the corresponding indecomposable  $\mathbf{k}Q$ -module  $M_{(u,v)}$  for which  $t_{Q^{op}}(u,v) = X_{M(u,v)}$ , the horizontal and vertical projections onto the cluster variables  $X_{P_i}$  gives the word  $X_{P_{i_1}}X_{P_{i_2}}\ldots X_{P_{i_n}}$ , meaning that  $M_{(u,v)}$  is the string module corresponding to the unique string from the vertex  $i_1$  to the vertex  $i_n$  in

Q. In our example, the cell (u, v) gives rise to the word  $x_2x_3...x_7$ , leading to

$$t_{Q^{\mathrm{op}}}(u,v) = \frac{1}{x_3x_4x_5x_6}[1,x_2] \left[ \begin{array}{cc} x_3 & 1 \\ 0 & x_4 \end{array} \right] \left[ \begin{array}{cc} x_5 & 0 \\ 1 & x_4 \end{array} \right] \left[ \begin{array}{cc} x_5 & 1 \\ 0 & x_6 \end{array} \right] \left[ \begin{array}{cc} 1 \\ x_7 \end{array} \right]$$

and  $M_{(u,v)}$  corresponds to the string module whose corresponding string is  $\gamma^{-1}\delta\epsilon^{-1}$  in Q.

Now, a close inspection of the formulae (in the coefficient-free situation) gives  $t_{Q^{op}}(u,v) = L_{M_{(u,v)}}$  whenever (u,v) lies in the north-west region.

As mentioned above, we also need to consider the south-east asterisks frontier of the north-west region. Observe that on this frontier,  $L_{M_{(u,v)}}$  is defined, while  $t_{Q^{op}}(u,v)$  is not. To fix this, one can augment  $Q^{op}$  with two new sinks, labeled 0 and n+1, in order to obtain a Dynkin quiver  $\overline{Q^{op}}$  of type  $\mathbb{A}_{n+2}$  in such a way the cells that were on the asterisks frontier now lie in the north-west region in the grid corresponding to  $\overline{Q^{op}}$ ; thus  $t_{\overline{Q^{op}}}(u,v)$  can be defined. In our example, it suffices to let  $\overline{Q^{op}}$  be given by

$$0 \longleftarrow 1 \stackrel{\alpha}{\longleftrightarrow} 2 \stackrel{\beta}{\longleftrightarrow} 3 \stackrel{\gamma}{\longrightarrow} 4 \stackrel{\delta}{\longleftrightarrow} 5 \stackrel{\epsilon}{\longrightarrow} 6 \stackrel{\zeta}{\longrightarrow} 7 \stackrel{\eta}{\longrightarrow} 8 \stackrel{\theta}{\longleftrightarrow} 9 \stackrel{\iota}{\longleftrightarrow} 10 \stackrel{\kappa}{\Longrightarrow} 11 \longrightarrow 12.$$

It is then easily checked that  $L_{M_{(u,v)}}=t_{\overline{Q^{\text{op}}}}(u,v)|_{\substack{x_0=1\\x_{n+1}=1}}$ , that is,  $L_{M_{(u,v)}}$  is obtained

from  $t_{\overline{Q^{op}}}(u,v)$  by specialising the initial cluster variables  $x_0$  and  $x_{n+1}$  to 1. Observe that this relation also holds true for any cell located in the north-west region. So, in general, one can write

$$L_{M_{(u,v)}} = t_{\overline{Q^{\text{op}}}}(u,v)|_{\substack{x_0=1\\x_{n+1}=1}}$$

whenever (u, v) lies in the north-west region or on its asterisks south-east frontier.

In the situation where we deal with arbitrary coefficients, let  $(\mathcal{Q}, F)$  be an ice quiver with unfrozen part Q of Dynkin type  $\mathbb{A}$ . Then, generalising the coefficient-free situation, we let

$$t_{Q^{\text{op}}}(u,v) = L_{M_{(u,v)}}.$$

For each  $i \in Q_0$ , let

$$y_i = \prod_{\alpha \in \mathcal{Q}_1(F,i)} x_{s(\alpha)}$$
 and  $z_i = \prod_{\alpha \in \mathcal{Q}_1(i,F)} x_{t(\alpha)}$ .

and for any  $\mathbf{d} = (d_i)_{i \in Q_0} \in \mathbb{N}^{Q_0}$ , we set  $\mathbf{y}^{\mathbf{d}} = \prod_{i \in Q_0} y_i^{d_i}$  and  $\mathbf{z}^{\mathbf{d}} = \prod_{i \in Q_0} z_i^{d_i}$ . Then, a tedious and combinatorial adaptation of Lemmata 5, 6 and Theorem 4

Then, a tedious and combinatorial adaptation of Lemmata 5, 6 and Theorem 4 in [5], in which one needs to embed  $Q^{\text{op}}$  in  $\overline{Q^{\text{op}}}$  as above, allows us to obtain the following recurrence relations, whose verification is left to the reader.

**Lemma 4.2.** a) For any  $i \in Q_0$ , we have

$$(4.2) x_i L_{P_i} - y_i \left( \prod_{\alpha \in Q_1(i,-)} L_{P_{t(\alpha)}} \right) \left( \prod_{\alpha \in Q_1(-,i)} x_{s(\alpha)} \right) = \mathbf{z}^{\dim P_i}.$$

b) For any non-projective indecomposable submodule M of the unique indecomposable unfrozen sincere  $\mathbf{k}Q$ -module, we have

(4.3) 
$$L_{\tau M}L_M - L_E = \mathbf{y}^{\dim \tau M} \mathbf{z}^{\dim M}$$

where E is the middle term of the almost split exact sequence  $0 \longrightarrow \tau M \xrightarrow{i} E \xrightarrow{p} M \longrightarrow 0$  in mod- $\mathbf{k}Q$ .

4.2. Proof of Theorem 4.1 - first step: Principal coefficients. We start with two lemmata concerning cluster characters associated to principal extensions of acyclic quivers. These are analogues to [15, Lemma 3.9 and Proposition 3.10] (see also [27, Lemma 2.2]).

Let Q be an acyclic quiver and let  $H = \mathbf{k}Q$  be the path algebra of Q. It is a hereditary algebra and  $K_0(\text{mod-}H)$  is naturally identified with  $\mathbb{N}^{Q_0}$ . If  $(\mathcal{Q}, F)$  is the principal extension  $(Q^{\text{pp}}, Q'_0)$  of Q defined in Example 2.6, we denote by  $X_?^{\text{pp}}$  the corresponding cluster character and by  $L^{\text{pp}}$  the matrix formula of equation (1.1). For every  $i' \in Q'_0$ , we set  $y_i = x_{i'}$ . It is known that

$$X_M^{\mathrm{pp}} = \sum_{\mathbf{e} \in \mathbb{N}^{Q_0}} \chi(\mathrm{Gr}_{\mathbf{e}}(M)) \prod_{i \in Q_0} x_i^{-\langle \mathbf{e}, [S_i] \rangle - \langle [S_i], [M] - \mathbf{e} \rangle} y_i^{m_i - e_i}$$

where the Euler forms and the Grassmannian are considered in mod-H and where  $[M] = (m_i)_{i \in Q_0}$  (see for instance [27, Remark 2.4]).

**Lemma 4.3.** Let Q be an acyclic quiver. Then for any  $i \in Q_0$ , we have

$$(4.4) x_i X_{P_i}^{\text{pp}} - y_i \left( \prod_{\alpha \in Q_1(i,-)} X_{P_{t(\alpha)}}^{\text{pp}} \right) \left( \prod_{\alpha \in Q_1(-,i)} x_{s(\alpha)} \right) = 1.$$

where  $P_j$  is the indecomposable projective  $\mathbf{k}Q$ -module associated to the vertex  $j \in Q_0$ .

*Proof.* The proof is a straightforward adaptation of the proof of [15, Lemma 3.9], we give it for completeness. We recall that for any  $i \in Q_0$ , we have

$$\operatorname{Rad} P_i = \bigoplus_{\alpha \in Q_1(i,-)} P_{t(\alpha)} \text{ and thus } X^{\operatorname{pp}}_{\operatorname{Rad} P_i} = \prod_{\alpha \in Q_1(i,-)} X^{\operatorname{pp}}_{P_{t(\alpha)}}.$$

We also recall that  $P_i/\operatorname{Rad} P_i \simeq S_i$  and that a submodule M of  $P_i$  either equals  $P_i$  or is a submodule of  $\operatorname{Rad} P_i$ . We set  $\dim P_i = \mathbf{m} = (m_j)_{j \in Q_0}$  and let  $\delta$  be such that  $\delta_{ij} = 1$  if i = j and  $\delta_{ij} = 0$  otherwise. Thus

$$\begin{split} X^{\mathrm{pp}}_{\mathrm{Rad}\,P_i} &= \sum_{\mathbf{e}} \chi(\mathrm{Gr}_{\mathbf{e}}(\mathrm{Rad}\,P_i)) \prod_{l \in Q_0} x_l^{-\langle \mathbf{e},[S_l] \rangle - \langle [S_l], \mathbf{m} - [S_i] - \mathbf{e} \rangle} y_l^{m_l - \delta_{il} - e_l} \\ &= \sum_{\mathbf{e}} \chi(\mathrm{Gr}_{\mathbf{e}}(\mathrm{Rad}\,P_i)) \prod_{l \in Q_0} \left( x_l^{-\langle \mathbf{e},[S_l] \rangle - \langle [S_l], \mathbf{m} - \mathbf{e} \rangle} y_l^{m_l - e_l} \right) x_l^{\langle [S_l],[S_i] \rangle} y_l^{-\delta_{il}} \\ &= y_i^{-1} \left( \prod_{\alpha \in Q_1(-,i)} x_{s(\alpha)}^{-1} \right) x_i \sum_{\mathbf{e}} \chi(\mathrm{Gr}_{\mathbf{e}}(\mathrm{Rad}\,P_i)) \prod_{l \in Q_0} x_l^{-\langle \mathbf{e},[S_l] \rangle - \langle [S_l], \mathbf{m} - \mathbf{e} \rangle} y_l^{m_l - e_l} \end{split}$$

but

$$X_{P_i}^{\mathrm{pp}} = x_i^{-1} + \sum_{\mathbf{e}} \chi(\mathrm{Gr}_{\mathbf{e}}(\mathrm{Rad}\,P_i)) \prod_{l \in Q_0} x_l^{-\langle \mathbf{e}, [S_l] \rangle - \langle [S_l], \mathbf{m} - \mathbf{e} \rangle} y_l^{m_l - e_l}.$$

Thus,

$$x_i X_{P_i}^{\text{pp}} = y_i \left( \prod_{\alpha \in Q_1(-,i)} x_{s(\alpha)} \right) X_{\text{Rad } P_i}^{\text{pp}} + 1$$

from which we deduce (4.4).

**Lemma 4.4.** Let Q be an acyclic quiver. Then for any non-projective kQ-module M, we have

$$(4.5) X_{\tau M}^{\text{pp}} X_M^{\text{pp}} - X_E^{\text{pp}} = \mathbf{y}^{\dim \tau M}$$

where E is the central term of the almost split exact sequence  $0 \longrightarrow \tau M \xrightarrow{i} E \xrightarrow{p} M \longrightarrow 0$ .

*Proof.* This result is an analogue of [15, Proposition 3.10]. We sketch the proof for the convenience of the reader.

We write  $N = \tau M$ ,  $\dim N = \mathbf{n} = (n_l)_{l \in Q_0}$  and  $\dim M = \mathbf{m} = (m_l)_{l \in Q_0}$ . It follows from the definition of the character that we have

$$X_N^{\mathrm{pp}} X_M^{\mathrm{pp}} = X_{N \oplus M}^{\mathrm{pp}} = \sum_{\mathbf{e} \in \mathbb{N}^{Q_0}} \chi(\mathrm{Gr}_{\mathbf{e}}(N \oplus M)) \prod_{l \in Q_0} x_l^{-\langle \mathbf{e}, [S_l] \rangle - \langle [S_l], \mathbf{n} + \mathbf{m} - \mathbf{e} \rangle} y_l^{m_l + n_l - e_l}.$$

but there is a surjective map with affine fibres

$$\operatorname{Gr}_{\mathbf{e}}(N \oplus M) \longrightarrow \bigsqcup_{\mathbf{f}+\mathbf{g}=\mathbf{e}} \operatorname{Gr}_{\mathbf{f}}(N) \times \operatorname{Gr}_{\mathbf{g}}(M)$$

so that  $\chi(\mathrm{Gr}_{\mathbf{e}}(N\oplus M))=\sum_{\mathbf{f}+\mathbf{g}=\mathbf{e}}\chi(\mathrm{Gr}_{\mathbf{f}}(N))\chi(\mathrm{Gr}_{\mathbf{g}}(M))$  and thus

$$X_{N \oplus M}^{\mathrm{pp}} = \sum_{\mathbf{f}, \mathbf{g}} \chi(\mathrm{Gr}_{\mathbf{f}}(N)) \chi(\mathrm{Gr}_{\mathbf{g}}(M)) \prod_{l \in Q_0} x_l^{-\langle \mathbf{f} + \mathbf{g}, [S_l] \rangle - \langle [S_l], \mathbf{n} + \mathbf{m} - \mathbf{f} - \mathbf{g} \rangle} y_l^{m_l + n_l - f_l - g_l}.$$

Now, it follows from [15, Lemma 3.11] that every fibre of the map

$$\zeta: \left\{ \begin{array}{ccc} \operatorname{Gr}_{\mathbf{e}}(N \oplus M) & \longrightarrow & \bigsqcup_{\mathbf{f}+\mathbf{g}=\mathbf{e}} \operatorname{Gr}_{\mathbf{f}}(N) \times \operatorname{Gr}_{\mathbf{g}}(M) \\ U & \mapsto & (i^{-1}(U), p(U)) \end{array} \right.$$

is an affine space except over the point (0, M) where it is empty. It thus follows that

$$X_{N \oplus M}^{\mathrm{pp}} = X_E^{\mathrm{pp}} + \prod_{l \in Q_0} y_l^{n_l}$$

which establishes (4.5).

We now prove the second point of Theorem 4.1 for principal coefficients:

**Proposition 4.5.** Let Q be a Dynkin quiver of type  $\mathbb{A}$ . Then for any indecomposable submodule M of the unique unfrozen sincere kQ-module, we have

$$X_M^{\mathrm{pp}} = L_M^{\mathrm{pp}}$$
.

*Proof.* The proof directly follows from Lemmata 4.2, 4.3 and 4.4, keeping in mind that for principal coefficients we have  $z_i = 1$  for each i in Lemma 4.2.

4.3. **Proof of Theorem 4.1** - **second step.** We now finish the proof of Theorem 4.1. For this, the strategy is to apply Fomin-Zelevinsky separation formula to the equality established in Proposition 4.5. We let  $(\mathcal{Q}, F)$  be a blown-up ice quiver with unfrozen part Q of Dynkin type  $\mathbb{A}$  and we fix a realisable quadruple  $(\mathcal{Q}, F, \mathcal{C}, T)$  and an unfrozen indecomposable  $B_T$ -module M which has a natural structure of H-module where H is the path algebra of Q. We denote by  $(Q^{\mathrm{pp}}, Q'_0)$  the principal extension of Q. Every H-module can naturally be viewed as a  $B_T$ -module or as a  $\mathbf{k}Q^{\mathrm{pp}}$ -module. According to Proposition 4.5, we know that  $L_M^{\mathrm{pp}} = X_M^{\mathrm{pp}}$ . We now want to prove that  $L_M = X_M^T$  where L? denotes the matrix formula associated to  $(\mathcal{Q}, F)$  in equation (1.1).

In order to simplify the notations, we identify  $Q_0$  with  $\{1, \ldots, n\}$  and for every  $i \in Q_0$ , we denote i' by n + i. Let  $\mathbb{P}$  be the tropical semifield generated by the  $x_i$ , with  $i \in F$ , and endowed with the auxiliary addition

$$\prod_{i} x_i^{a_i} \oplus \prod_{i} x_i^{b_i} = \prod_{i} x_i^{\min\{a_i, b_i\}}.$$

For any  $i \in Q_0$ , we set

$$w_i = \left(\prod_{\alpha \in \mathcal{Q}_1(F,i)} x_{s(\alpha)}\right) \left(\prod_{\alpha \in \mathcal{Q}_1(i,F)} x_{t(\alpha)}^{-1}\right).$$

With the notations of Section 4.1, we can thus write  $w_i = y_i z_i^{-1}$ . Following [29], for every subtraction-free rational expression f in the variables  $x_1, \ldots, x_{2n}$ , we define the separation of f as

$$\sigma(f) = \frac{f(x_1, \dots, x_n, w_1, \dots, w_n)}{f|_{\mathbb{P}}(1, \dots, 1, w_1, \dots, w_n)}$$

where the  $f|_{\mathbb{P}}$  means that we have replaced the ordinary addition in  $\mathscr{F}(\mathbf{x}_F)$  by the auxiliary addition  $\oplus$  of the semifield  $\mathbb{P}$ . This can be done since f is subtraction-free.

Now, we note that, for every H-module,  $L_M^{\rm pp}$  is a subtraction-free rational expression by definition. Also, for every indecomposable H-module M,  $X_M^{\rm pp}$  is a cluster variable so that it is defined with a finite number of mutations, which are all subtraction-free. Thus,  $X_M^{\rm pp}$  is also a subtraction-free rational expression (see [30, §3]) and we can apply  $\sigma$  to both  $X_M^{\rm pp}$  and  $L_M^{\rm pp}$ . Since  $L_M^{\rm pp} = X_M^{\rm pp}$  by Proposition 4.5, we have  $\sigma(L_M^{\rm pp}) = \sigma(X_M^{\rm pp})$ . Thus, we only need to prove that  $\sigma(X_M^{\rm pp}) = X_M^T$  and  $\sigma(L_M^{\rm pp}) = L_M$ .

The equality  $\sigma(X_M^{\rm pp}) = X_M^T$  follows directly from [30, Theorem 3.7] since  $X_?^{\rm pp}$  and  $X_?^T$  induce bijections from the set of indecomposable H-modules to the set of cluster variables in the cluster algebras  $\mathscr{A}(Q^{\rm pp},Q_0')$  and  $\mathscr{A}(\mathscr{Q},F)$  respectively and these bijections respect denominator vectors.

The equality  $\sigma(L_M^{\rm pp})=L_M$  is obtained from the following observation. We write  $c=\mathbf{s}(M)$ . For each  $j\in F,\ x_j$  appears in exactly one  $w_i$ , since there is exactly one  $i\in Q_0$  which is adjacent to j in  $\mathscr Q$ . On the other hand, in the product of matrices  $L_M^{\rm pp},\ x_{n+i}$  appears in at most one matrix, namely in the matrix  $V_c^{\rm pp}(i)$  (where  $V_c^{\rm pp}(i)$  denotes the matrices arising in the matrix product  $L_c^{\rm pp}$ ). Thus, using the definition of the addition in  $\mathbb P$  and the fact that  $L_M^{\rm pp}(1,\ldots,1,x_{n+1},\ldots,x_{2n})$  has constant term 1, it follows that

$$L_M^{\rm pp}|_{\mathbb{P}}(1,\ldots,1,w_1,\ldots,w_n) = \prod_{i=1}^n \prod_{\alpha \in Q^{\rm pp}(i,F)} x_{t(\alpha)}^{-1}.$$

Now, since

$$\left(\prod_{\alpha \in \mathcal{Q}_1(i,F)} x_{t(\alpha)}\right) \left(V_c^{\text{pp}}(i)(x_1,\ldots,x_n,w_1,\ldots,w_n)\right) = V_c(i),$$

we get  $\sigma(L_M^{\rm pp}) = L_M$ . This ends the proof of Theorem 4.1.

#### 5. The general case

We now deduce the main theorem from Theorem 4.1. For this, we use some "blow-up" techniques which are described in Section 5.1. These techniques introduce some "error" in the computation of the characters but this can be controlled with a normalising factor that we introduce in Section 5.2. The main result (Theorem 5.11) is stated in Section 5.4.

5.1. Blowing up quivers along string modules. In this section, we fix a quiver Q, we denote by M a string representation of Q and we write  $c = \mathbf{s}(M)$ . If c is of positive length  $n \geq 1$ , we write  $c = c_1 \cdots c_n$ .

The blow-up  $\widetilde{Q_M}$  of Q along M is the quiver constructed as follows. Let  $\{v_1,\ldots,v_{n+1}\}$  be a set. For any  $i\in\{1,\ldots,n\}$ , we set  $\beta_i$  from  $v_i$  to  $v_{i+1}$  which is an arrow (or a formal inverse of an arrow, respectively) in  $(\widetilde{Q_M})_1$  if  $c_i$  is an arrow (or a formal inverse of an arrow, respectively) in  $Q_1$ . For any  $i\in\{1,\ldots,n\}$  and for any arrow  $\alpha\in Q_1$  such that  $\alpha\neq c_i^{\pm 1},c_{i-1}^{\pm 1}$ , if  $s(\alpha)=t(c_i)$  (or  $t(\alpha)=s(c_i)$ , respectively), we create a new point, denoted by  $t(\alpha)^{\alpha;i}$  (or  $s(\alpha)^{\alpha;i}$ , respectively) and an arrow  $\alpha_{v_i}:v_i\longrightarrow t(\alpha)^{\alpha;i}$  (or  $\alpha_{v_i}:s(\alpha)^{\alpha;i}\longrightarrow v_i$ , respectively).

# Example 5.1. Consider the quiver

$$Q: \qquad 1 \xrightarrow{\alpha} 2 \xrightarrow{\epsilon} 3 \xrightarrow{\delta} 4$$

and let M be the string representation corresponding to the walk  $c = \epsilon^{-1} \gamma$ , that is

$$M: \qquad 0 \longrightarrow \mathbf{k} \overset{\mathbf{1}_{\mathbf{k}} \oplus \mathbf{0}_{\mathbf{k}}}{\underset{\mathbf{0}_{\mathbf{k}} \oplus \mathbf{1}_{\mathbf{k}}}{\Longrightarrow}} \mathbf{k}^2 \longrightarrow 0.$$

Then, the quiver  $\widetilde{Q_M}$  is

We recall the following definition from [51]:

**Definition 5.2.** Let Q and S be two quivers. A winding of quivers  $\Phi: Q \longrightarrow S$  is a pair  $\Phi = (\Phi_0, \Phi_1)$  where  $\Phi_0: Q_0 \longrightarrow S_0$  and  $\Phi_1: Q_1 \longrightarrow S_1$  are such that :

- a)  $\Phi$  is a morphism of quivers, that is  $s \circ \Phi_1 = \Phi_0 \circ s$  and  $t \circ \Phi_1 = \Phi_0 \circ t$ ;
- b) If  $\alpha, \alpha' \in Q_1$  with  $\alpha \neq \alpha'$  and  $s(\alpha) = s(\alpha')$ , then  $\Phi_1(\alpha) \neq \Phi_1(\alpha')$ ;
- c) If  $\alpha, \alpha' \in Q_1$  with  $\alpha \neq \alpha'$  and  $t(\alpha) = t(\alpha')$ , then  $\Phi_1(\alpha) \neq \Phi_1(\alpha')$ ;

With the above notations, the maps

$$\Phi_0: \left\{ \begin{array}{ccc} v_i & \mapsto & t(c_{i-1}) & \text{ for any } i \in \{1, \dots, n+1\} \\ v^{\alpha;i} & \mapsto & v & \text{ for any } v \in \overline{\operatorname{supp}(M)} \text{ and any } \alpha, i \end{array} \right.$$

and

$$\Phi_1: \left\{ \begin{array}{ccc} \beta_i & \mapsto & c_i & \text{ for any } i \in \{1, \dots, n\} \\ \alpha_{v_i} & \mapsto & \alpha & \text{ for any arrow of the form } \alpha_{v_i} \end{array} \right.$$

induce a winding of quivers  $\Phi: \widetilde{Q_M} \longrightarrow \overline{\operatorname{supp}(M)}$ .

Let  $\Phi_*$  be the map from the set of objects in  $\operatorname{rep}(\widetilde{Q_M})$  to the set of objects in  $\operatorname{rep}(\overline{\sup(M)})$  which associates to a representation  $\widetilde{V}$  of  $\widetilde{Q_M}$  the representation V given by

$$V(i) = \bigoplus_{j \in \Phi_0^{-1}(i)} \widetilde{V}(j) \text{ and } V(\alpha) = \bigoplus_{\beta \in \Phi_1^{-1}(\alpha)} \widetilde{V}(\beta)$$

for any  $i \in (\overline{\operatorname{supp}(M)})_0$  and any  $\alpha \in (\overline{\operatorname{supp}(M)})_1$ . At the level of dimension vectors,  $\Phi_*$  induces a natural map  $\phi : \mathbb{N}^{(\widetilde{Q_M})_0} \longrightarrow \mathbb{N}^{(\overline{\operatorname{supp}(M)})_0}$ .

We define a representation  $\widetilde{M}$  of  $\widetilde{Q_M}$  by setting for any point  $v \in (\widetilde{Q_M})_0$ 

$$\widetilde{M}(v) = \left\{ \begin{array}{ll} \mathbf{k} & \text{if } v = v_i \text{ for some } i \in \{0, \dots, n\}, \\ 0 & \text{otherwise} \end{array} \right.$$

and for any arrow  $\alpha \in (\widetilde{Q_M})_1$ 

$$\widetilde{M}(\alpha) = \left\{ \begin{array}{ll} 1_{\mathbf{k}} & \text{ if } \alpha = \beta_i^{\pm 1} \text{ for some } i \in \left\{1, \dots, n\right\}, \\ 0 & \text{ otherwise.} \end{array} \right.$$

**Lemma 5.3.** Let B be a finite dimensional k-algebra. Then for any string B-module M, we have  $\Phi_*(\widetilde{M}) \simeq M$ .

*Proof.* This follows from the construction.

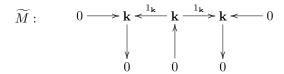
**Definition 5.4.** If B is a finite dimensional **k**-algebra with bound quiver (Q, I) and M is a B-module, the border  $\partial M$  of M is the set of points in the closure  $\operatorname{supp}(M)$  of the support of M in Q and which do not lie in the support  $\operatorname{supp}(M)$  of M.

Thus, with the above notations, the border  $\partial \widetilde{M}$  consists of all the points in  $(\widetilde{Q_M})_0$  which do not lie in the support of  $\widetilde{M}$ .

**Lemma 5.5.** Let B be a finite dimensional k-algebra. Then for any string B-module M, the pair  $(\widetilde{Q}_M, \partial \widetilde{M})$  is a blown-up ice quiver whose unfrozen part is of Dynkin type  $\mathbb{A}$ . Moreover, the representation  $\widetilde{M}$  is a sincere unfrozen string representation of  $\widetilde{Q}_M$ .

*Proof.* The first assertion follows from the construction of  $\widetilde{Q}_M$  and  $\widetilde{M}$ . For the second assertion, we observe that  $\widetilde{M}$  is supported on the unfrozen part of  $\widetilde{Q}_M$  which is of Dynkin type  $\mathbb{A}$ . It is unfrozen sincere and indecomposable by construction so that it is a string representation.

**Example 5.6.** Let B be the path algebra of the quiver Q considered in Example 5.1 and let M be the string module considered in that same example. Then, the representation  $\widetilde{M}$  of the quiver  $\widetilde{Q_M}$  is



so that  $(\widetilde{Q_M}, \partial \widetilde{M})$  is indeed a blown-up ice quiver with unfrozen part of Dynkin type  $\mathbb{A}_3$ .

5.2. **Normalisation.** In this section M denotes a string module over a finite dimensional algebra B with bound quiver (Q, I).

**Definition 5.7.** The normalising vector of M is  $\mathbf{n}_M = (n_i)_{i \in \overline{\text{supp}(M)}_0} \in \mathbb{N}^{\overline{\text{supp}(M)}_0}$  given by

$$n_i = \langle S_i, M \rangle - \sum_{j \in \Phi_0^{-1}(i)} \left\langle S_j, \widetilde{M} \right\rangle$$

for any  $i \in \overline{\operatorname{supp}(M)}_0$  where the first Euler form is considered in mod-B and the second Euler form is considered in mod- $\widehat{\mathbf{k}Q_M}$ .

The  $normalising\ factor\ of\ M$  is

$$\mathbf{x}^{\mathbf{n}_M} = \prod_{i \in \overline{\operatorname{supp}(M)}_0} x_i^{n_i}.$$

This normalisation is actually easy to compute in several usual situations as it is explained in Section 7.

**Example 5.8.** Consider the finite dimensional algebra B whose ordinary quiver is

$$Q: \qquad 1 \xrightarrow{\alpha} 2$$

and whose relations are given by the vanishing of all paths of length two, that is  $\alpha\beta=\beta\gamma=\gamma\alpha=0$ . It is a cluster-tilted algebra of type  $\mathbb{A}_3$ . Consider the projective module M associated to the point 1. It is a string B-module with string  $\alpha$ . The associated blown-up quiver  $\widetilde{Q}_M$  is  $3^{\gamma;1} \longrightarrow v_1 \longrightarrow v_2 \longrightarrow 3^{\beta;2}$  and the representation  $\widetilde{M}$  is  $0 \longrightarrow \mathbf{k} \xrightarrow{1_\mathbf{k}} \mathbf{k} \longrightarrow 0$ . Then, one has  $\langle S_1, M \rangle = \left\langle S_{v_1}, \widetilde{M} \right\rangle = 1$ ,  $\langle S_2, M \rangle = \left\langle S_{v_2}, \widetilde{M} \right\rangle = 1$  and  $\langle S_3, M \rangle = 0$  whereas  $\left\langle S_{3^{\beta;2}}, \widetilde{M} \right\rangle + \left\langle S_{3^{\gamma;1}}, \widetilde{M} \right\rangle = 0 - 1 = -1$ . Thus, the normalisation is  $\mathbf{n}_M = (0,0,1)$ .

5.3. Blow-ups and cluster characters. We keep the notations of Section 5.1. As usual, we naturally identify  $\mathscr{L}(\mathbf{x}_{\overline{\text{supp}(M)}})$  to a subring of  $\mathscr{L}(\mathbf{x}_{\mathscr{Q}})$ . We consider the following surjective morphism of  $\mathbb{Z}$ -algebras:

$$\pi: \mathscr{L}(\mathbf{x}_{\widetilde{Q_M}}) {\longrightarrow} \mathscr{L}(\mathbf{x}_{\overline{\operatorname{supp}(M)}})$$

defined by

$$\pi(x_{v_i}) = x_{t(c_{i-1})}$$

for any  $1 \le i \le n+1$  and

$$\pi(x_{v^{\alpha;i}}) = x_v$$

for any  $v \in \overline{\operatorname{supp}(M)}$  and any  $\alpha, i$ .

We now observe that for any unfrozen string module M with respect to a realisable quadruple  $(\mathcal{Q}, F, \mathcal{C}, T)$ , the Laurent polynomial  $X_M^T$  is in the image of the function  $\pi$ .

**Lemma 5.9.** Let  $(\mathcal{Q}, F, \mathcal{C}, T)$  be a realisable quadruple and let M be an unfrozen string module. Then

$$X_M^T \in \mathscr{L}(\mathbf{x}_{\overline{\operatorname{supp}(M)}}).$$

*Proof.* We recall that unfrozen  $B_T$ -modules are identified via  $\operatorname{Hom}_{\mathscr{C}}(T,-)$  with the objects M in  $\mathscr{U}/(\operatorname{add} T[1])$ . We have

$$X_M^T = \sum_{\mathbf{e} \in \mathbb{N}^{\mathcal{Q}_0}} \chi(\mathrm{Gr}_{\mathbf{e}}(M)) \prod_{i \in \mathcal{Q}_0} x_i^{\langle S_i, \mathbf{e} \rangle_a - \langle S_i, M \rangle}.$$

Any dimension vector  $\mathbf{e}$  such that  $\mathrm{Gr}_{\mathbf{e}}(M) \neq \emptyset$  is supported on  $\mathrm{supp}(M)$ . Thus, if  $i \in \mathscr{Q}_0$  is not in  $\overline{\mathrm{supp}(M)}$ , then  $\langle S_i, \mathbf{e} \rangle_a = 0$  and  $\langle S_i, M \rangle = 0$ . In particular,

$$X_M^T = \sum_{\mathbf{e} \in \mathbb{N}^{\mathcal{Q}_0}} \chi(\mathrm{Gr}_{\mathbf{e}}(M)) \prod_{i \in \overline{\mathrm{supp}(M)}} x_i^{\langle S_i, \mathbf{e} \rangle_a - \langle S_i, M \rangle} \in \mathscr{L}(\mathbf{x}_{\overline{\mathrm{supp}(M)}}).$$

Let  $\widetilde{\mathscr{C}}$  be the cluster category of the quiver  $\widetilde{Q_M}$  and let  $\widetilde{T} = \mathbf{k}\widetilde{Q_M}$  be the path algebra of  $\widetilde{Q_M}$ , which is identified with a tilting object in  $\widetilde{\mathscr{C}}$ . We denote by  $\widetilde{X}_?$  the corresponding cluster character with values in  $\mathscr{L}(\mathbf{x}_{\widetilde{Q_M}})$ .

**Proposition 5.10.** Let  $(\mathcal{Q}, F, \mathcal{C}, T)$  be a realisable quadruple and let M be an unfrozen string module. Then,

$$\pi(\widetilde{X}_{\widetilde{M}}) = \mathbf{x}^{\mathbf{n}_M} X_M^T.$$

*Proof.* We have

$$\begin{split} X_M^T &= \sum_{\mathbf{e} \in \mathbb{N}^{\mathcal{Q}_0}} \chi(\mathrm{Gr}_\mathbf{e}(M)) \prod_{i \in \mathcal{Q}_0} x_i^{\langle S_i, \mathbf{e} \rangle_a - \langle S_i, M \rangle} \\ &= \sum_{\mathbf{e} \in \mathbb{N}^{\mathrm{supp}(M)_0}} \chi(\mathrm{Gr}_\mathbf{e}(M)) \prod_{i \in (\overline{\mathrm{supp}(M)})_0} x_i^{\langle S_i, \mathbf{e} \rangle_a - \langle S_i, M \rangle} \end{split}$$

Now, for any  $\mathbf{e} \in \mathbb{N}^{\text{supp}(M)_0}$ , since  $\Phi$  is a winding of quiver and  $\Phi_*(\widetilde{M}) = M$ , it follows from [51, Corollary 3.1] that

$$\chi(\mathrm{Gr}_{\mathbf{e}}(M)) = \sum_{\mathbf{f} \in \phi^{-1}(\mathbf{e})} \chi(\mathrm{Gr}_{\mathbf{f}}(\widetilde{M})).$$

Fix  $\mathbf{e} \in \mathbb{N}^{\text{supp}(M)_0}$ , we now prove that for any  $i \in \overline{\text{supp}(M)}$ , we have

(5.6) 
$$x_i^{\langle S_i, \mathbf{e} \rangle_a} = \pi \left( \prod_{j \in \Phi_0^{-1}(i)} x_j^{\langle S_j, \mathbf{f} \rangle_a} \right).$$

where the Euler form in the left-hand side is taken in mod- $\mathbf{k}\widetilde{Q}_{M}$  and Euler forms in the right-hand side are taken in mod-B.

First note that

$$\pi\left(\prod_{j\in\Phi_0^{-1}(i)}x_j^{\langle S_j,\mathbf{f}\rangle_a}\right)=x_i^{\sum_{j\in\Phi_0^{-1}(i)}\langle S_j,\mathbf{f}\rangle_a}$$

so that it is enough to prove that

$$\langle S_i, \mathbf{e} \rangle_a = \sum_{j \in \Phi_0^{-1}(i)} \langle S_j, \mathbf{f} \rangle_a.$$

For any module U and any integer  $n \geq 1$ , we denote by nU the direct sum of n copies of U. Now, since  $\langle S_i, - \rangle_a$  is well-defined on the Grothendieck group  $K_0(\text{mod-}B_T)$  (Lemma 3.2), we have

$$\langle S_i, \mathbf{e} \rangle_a = \sum_{k \in \text{supp}(M)_0} \langle S_i, e_k S_k \rangle_a$$

$$= \sum_{k \in \text{supp}(M)_0} \left\langle S_i, \left( \sum_{l \in \Phi_0^{-1}(k)} f_l \right) S_k \right\rangle_a$$

$$= \sum_{k \in \text{supp}(M)_0} \left( \sum_{l \in \Phi_0^{-1}(k)} f_l \right) \langle S_i, S_k \rangle_a$$

and

$$\begin{split} \sum_{j \in \Phi_0^{-1}(i)} \left\langle S_j, \mathbf{f} \right\rangle_a &= \sum_{k \in \operatorname{supp}(M)_0} \sum_{l \in \Phi_0^{-1}(k)} \sum_{j \in \Phi_0^{-1}(i)} f_l \left\langle S_j, S_l \right\rangle_a \\ &= \sum_{k \in \operatorname{supp}(M)_0} \sum_{l \in \Phi_0^{-1}(k)} f_l \left( \sum_{j \in \Phi_0^{-1}(i)} \left\langle S_j, S_l \right\rangle_a \right). \end{split}$$

But for any  $k \in \text{supp}(M)_0$  and any  $l \in \Phi_0^{-1}(k)$ , we have

$$\sum_{j \in \Phi_0^{-1}(i)} \left\langle S_j, S_l \right\rangle_a = \left\langle S_i, S_k \right\rangle_a$$

which proves (5.6).

Now,

$$\widetilde{X}_{\widetilde{M}} = \sum_{\mathbf{f} \in \mathbb{N}^{(\widetilde{Q_M})_0}} \chi(\operatorname{Gr}_{\mathbf{f}}(\widetilde{M})) \prod_{i \in (\widetilde{Q_M})_0} x_i^{\langle S_i, \mathbf{f} \rangle_a - \langle S_i, \widetilde{M} \rangle}$$

so that,

$$\begin{split} \pi(\widetilde{X}_{\widetilde{M}}) &= \sum_{\mathbf{e} \in \mathbb{N}^{\operatorname{supp}(M)_0}} \sum_{\mathbf{f} \in \phi^{-1}(\mathbf{e})} \chi(\operatorname{Gr}_{\mathbf{f}}(\widetilde{M})) \prod_{i \in \overline{\operatorname{supp}(M)_0}} \pi \left( \prod_{j \in \Phi_0^{-1}(i)} x_j^{\langle S_j, \mathbf{f} \rangle_a - \langle S_j, \widetilde{M} \rangle} \right) \\ &= \sum_{\mathbf{e} \in \mathbb{N}^{\operatorname{supp}(M)_0}} \left( \sum_{\mathbf{f} \in \phi^{-1}(\mathbf{e})} \chi(\operatorname{Gr}_{\mathbf{f}}(\widetilde{M})) \right) \prod_{i \in \overline{\operatorname{supp}(M)_0}} x_i^{\langle S_i, \mathbf{e} \rangle_a} \pi \left( \prod_{j \in \Phi_0^{-1}(i)} x_j^{-\langle S_j, \widetilde{M} \rangle} \right) \\ &= \sum_{\mathbf{e} \in \mathbb{N}^{\operatorname{supp}(M)_0}} \chi(\operatorname{Gr}_{\mathbf{e}}(M)) \prod_{i \in \overline{\operatorname{supp}(M)_0}} x_i^{\langle S_i, \mathbf{e} \rangle_a - \sum_{j \in \Phi_0^{-1}(i)} \langle S_j, \widetilde{M} \rangle} \\ &= \mathbf{x}^{\mathbf{n}_M} X_M^T. \end{split}$$

This finishes the proof.

5.4. **The main theorem.** We can now prove the main theorem of the article:

**Theorem 5.11.** Let  $(\mathcal{Q}, F, \mathcal{C}, T)$  be a realisable quadruple and let M be an unfrozen string module with respect to this quadruple. Then

$$X_M^T = \frac{1}{\mathbf{x}^{\mathbf{n}_M}} L_M.$$

*Proof.* We first notice that with the above notations, it follows directly from the definitions that

$$\pi(\widetilde{L}_{\widetilde{M}}) = L_M.$$

Thus,

$$X_M^T = \frac{1}{\mathbf{x}^{\mathbf{n}_M}} \pi(\widetilde{X}_{\widetilde{M}}) = \frac{1}{\mathbf{x}^{\mathbf{n}_M}} \pi(\widetilde{L}_{\widetilde{M}}) = \frac{1}{\mathbf{x}^{\mathbf{n}_M}} L_M$$

where the first equality follows from Proposition 5.10, the second equality from Theorem 4.1 and Lemma 5.5 and the third from the above observation.  $\Box$ 

## 6. Applications

In this section, we give several applications of Theorem 5.11.

6.1. Computing cluster variables. We now prove that the formula  $M \mapsto L_M$  given in equation (1.1) allows to compute the cluster variables in several situations.

Corollary 6.1. Let  $(\mathcal{Q}, F)$  be an ice quiver. Assume that there exists a realisable quadruple  $(\mathcal{Q}, F, \mathcal{C}, T)$  such that every rigid object in  $\mathcal{C}$  is reachable from T. Then for any unfrozen  $\operatorname{End}_{\mathcal{C}}(T)$ -module M which is rigid and indecomposable, the Laurent polynomial  $\frac{1}{\mathbf{x}^{n_M}}L_M$  is a cluster variable in  $\mathcal{A}(\mathcal{Q}, F)$ .

*Proof.* We identify M with an indecomposable lifting in  $\mathscr C$  for the functor  $\operatorname{Hom}_{\mathscr C}(T,-)$ , which is also rigid in  $\mathscr C$  by [58, 33]. It follows from Theorem 3.4 that the map  $M\mapsto X_M^T$  induces a surjection from the set of reachable rigid objects in to the set of cluster variables in  $\mathscr A(\mathscr Q,F)$ . It is also rigid in  $\mathscr C$ , hence, by hypothsesis, it is reachable and thus  $X_M^T$  is a cluster variable in  $\mathscr A(\mathscr Q,F)$ .  $\square$ 

**Corollary 6.2.** Let  $B_T$  be a cluster-tilted algebra, then for any rigid string  $B_T$ -module M, the Laurent polynomial  $\frac{1}{\mathbf{x}^{\mathbf{n}}_M}L_M$  is a cluster variable in the coefficient-free cluster algebra associated to the ordinary quiver of  $B_T$ .

*Proof.* Let  $\mathscr{C}$  be a cluster category, T be a tilting object in  $\mathscr{C}$  and  $Q_T$  be the ordinary quiver of  $B_T$ . Then the quadruple  $(Q_T, \emptyset, \mathscr{C}, T)$  is realisable (see Example 2.3). Moreover, it follows from [11] that every indecomposable rigid object in  $\mathscr{C}$  is reachable from T. The result thus follows from Corollary 6.1.

Corollary 6.3. Let  $B_T$  be a cluster-tilted algebra of Dynkin type  $\mathbb{A}$  or affine type  $\widetilde{\mathbb{A}}$ . Then, the map

$$M \mapsto \frac{1}{\mathbf{x}^{\mathbf{n}_M}} L_M$$

induces a bijection from the set of indecomposable rigid  $B_T$ -modules to the set of cluster variables in the coefficient-free cluster algebra associated to the ordinary quiver of  $B_T$  which do not belong to the initial cluster.

Proof. Cluster-tilted algebras of Dynkin type  $\mathbb A$  or affine type  $\widetilde{\mathbb A}$  are string algebras [2]. Thus, each indecomposable rigid  $B_T$ -module is a string module. Now if  $\mathscr C$  is a cluster category and T is a tilting object in  $\mathscr C$  such that  $B_T = \operatorname{End}_{\mathscr C}(T)$ , it follows in particular from Theorem 5.11 that for every indecomposable rigid  $B_T$ -module M, we have  $X_M^T = \frac{1}{\mathbf{x}^{\mathbf{n}_M}} L_M$ . But then it follows from [48] that  $M \mapsto \frac{1}{\mathbf{x}^{\mathbf{n}_M}} L_M$  is a bijection from the set of indecomposable rigid  $B_T$ -modules to the set of cluster variables in the coefficient-free cluster algebra  $\mathscr A(Q_T,\emptyset)$  which do not belong to the initial cluster  $\mathbf{x}_{Q_T}$  where  $Q_T$  denotes the ordinary quiver of  $B_T$ .

6.2. **Positivity in cluster algebras.** We now prove positivity results in cluster algebras using formula (1.1). We first recall the basic notions concerning positivity in cluster algebras.

If  $\mathbb{P}$  is an abelian group, we denote by  $\mathbb{ZP}$  its group ring. Let  $n \geq 1$  be an integer and  $\mathbf{x} = (x_1, \dots, x_n)$  be a set indeterminates. We denote by  $\mathbb{Z}_{\geq 0}\mathbb{P}[\mathbf{x}^{\pm 1}]$  the semiring of subtraction-free Laurent polynomials in the variables in  $\mathbf{x}$  with coefficients in  $\mathbb{ZP}$ , that is, the set of elements of the form

$$\frac{f(x_1,\ldots,x_n)}{x_1^{d_1}\cdots x_n^{d_n}}$$

where f is a polynomial in n variables whose coefficients are non-negative linear combinations of elements of  $\mathbb{P}$  and  $d_1, \ldots, d_n \in \mathbb{Z}$ . In particular, in the case when  $\mathbb{P} = \{1\}$ , the notation  $\mathbb{Z}_{\geq 0}[\mathbf{x}^{\pm 1}]$  denotes the semiring of polynomials in variables  $x_1, \ldots, x_n$  with non-negative coefficients.

If Q is a quiver without loops and 2-cycles, if  $\mathbb{P}$  is any semifield and if  $\mathbf{y}$  is a  $Q_0$ -tuple of elements of  $\mathbb{P}$ , it follows from the Laurent phenomenon that every cluster variable in the cluster algebra  $\mathscr{A}(Q, \mathbf{x}_Q, \mathbf{y})$  is an element of  $\mathbb{ZP}[\mathbf{c}^{\pm 1}]$  when expressed in any cluster  $\mathbf{c}$  of  $\mathscr{A}(Q, \mathbf{x}_Q, \mathbf{y})$  [29]. Fomin and Zelevinsky positivity conjecture, stated in [29], asserts that every cluster variable is actually an element of  $\mathbb{Z}_{\geq 0}\mathbb{P}[\mathbf{c}^{\pm 1}]$ . This conjecture was proved for rank two cluster algebras [57, 45, 24], cluster algebras arising from surfaces with or without punctures [56, 55, 46], cluster algebras with bipartite seeds [47] and weaker versions were proved for acyclic cluster algebras [5, 53, 47].

**Corollary 6.4.** Let  $(\mathcal{Q}, F, \mathcal{C}, T)$  be a realisable quadruple and let M be an unfrozen string module with respect to this quadruple. Then

$$X_M^T \in \mathbb{Z}_{\geq 0}[\mathbf{x}_{\overline{\text{supp}}(M)}^{\pm 1}].$$

*Proof.* The set  $\mathbb{Z}_{\geq 0}[\mathbf{x}_{\overline{\operatorname{supp}(M)}}]$  is a semiring for the usual sum and product. Thus, the set  $M_2(\mathbb{Z}_{\geq 0}[\mathbf{x}_{\overline{\operatorname{supp}(M)}}])$  is also a semiring for the usual sum and product of matrices. It follows that  $L_M \in \mathbb{Z}_{\geq 0}[\mathbf{x}_{\overline{\operatorname{supp}(M)}}^{\pm 1}]$  and by Theorem 5.11,  $X_M^T = L_M$ . This proves the corollary.

This corollary was also obtained by Cerulli and Poettering [51, 19].

Corollary 6.5. Let  $B_T$  be a cluster-tilted algebra, then  $X_M^T \in \mathbb{Z}_{\geq 0}[\mathbf{x}_{\overline{\text{supp}}(M)}^{\pm 1}]$  for any string  $B_T$ -module M.

We now provide a new proof of Fomin and Zelevinsky positivity conjecture for cluster algebras arising from unpunctured surfaces. We first set some notations. If (S, M) is a bordered marked surface, Fomin, Shapiro and Thurston associated to any triangulation  $\Gamma$  of (S, M) a quiver  $Q_{\Gamma}$  [28]. For any semifield  $\mathbb P$  and any  $(Q_{\Gamma})_0$ -tuple  $\mathbf y$  of elements of  $\mathbb P$ , we denote by  $\mathscr A(Q_{\Gamma}, \mathbf x_{Q_{\Gamma}}, \mathbf y)$  the cluster algebra with initial seed  $(Q_{\Gamma}, \mathbf x_{Q_{\Gamma}}, \mathbf y)$  (see [30]). Such a cluster algebra does not depend on the choice of the triangulation  $\Gamma$  of (S, M), up to an isomorphism of  $\mathbb Z\mathbb P$ -algebras [28]. It is called the cluster algebra arising from the surface (S, M) and is denoted by  $\mathscr A(S, M)$ .

For this class of cluster algebras, Fomin and Zelevinsky positivity conjecture amounts to saying that any cluster variable z in  $\mathscr{A}(S,M)$  belongs to  $\mathbb{Z}_{\geq 0}\mathbb{P}[\mathbf{x}_{Q_{\Gamma}}^{\pm 1}]$  for any choice of triangulation  $\Gamma$  [29, §3]. We now provide a new independent

representation-theoretical proof of the positivity conjecture for cluster algebras arising from unpunctured surfaces.

**Corollary 6.6.** Let (S, M) be an unpunctured surface. Then the positivity conjecture holds for  $\mathcal{A}(S, M)$  equipped with an arbitrary choice of coefficients.

Proof. Using Fomin-Zelevinsky separation formula [30, Theorem 3.7], it is enough to prove the result for principal coefficients. Let  $\Gamma$  be any triangulation of (S, M) and let  $(Q_{\Gamma}, W_{\Gamma})$  be the quiver with potential associated to this triangulation as in [2] (see also [41]). Let  $\mathscr{J}_{\Gamma} = \mathscr{J}_{(Q_{\Gamma},W_{\Gamma})}$  be the corresponding Jacobian algebra which is finite dimensional [2]. It follows from Corollary 2.11 that the principal extension  $(Q_{\Gamma}^{\mathrm{pp}}, (Q_{\Gamma})'_0)$  can be embedded in a realisable quadruple  $(Q_{\Gamma}^{\mathrm{pp}}, (Q_{\Gamma})'_0, \mathscr{C}, T)$ . Now, let z be a cluster variable in  $\mathscr{A}(S, M)$ , it follows from Theorem 3.4 that either z belongs to the initial cluster  $\mathbf{x}_{Q_{\Gamma}}$  or  $z = X_M^T$  for some indecomposable rigid  $\mathscr{J}_{\Gamma}$ -module M. Since the algebra  $\mathscr{J}_{\Gamma}$  is a string algebra, any indecomposable rigid  $\mathscr{J}_{\Gamma}$ -module is a string module. Thus, if we set  $y_i = x_{i'}$  for any  $i \in (Q_T)_0$ , it follows from Corollary 6.4 that

$$z = X_M^T \in \mathbb{Z}_{\geq 0}[\mathbf{x}_{\overline{\operatorname{supp}(M)}}^{\pm 1}] \subset \mathbb{Z}_{\geq 0}[\mathbf{y}^{\pm 1}][\mathbf{x}_{Q_\Gamma}^{\pm 1}] = \mathbb{Z}_{\geq 0}\mathbb{P}[\mathbf{x}_{Q_\Gamma}^{\pm 1}]$$

**Remark 6.7.** This was already proved in [56] without coefficients and in [55] with arbitrary coefficients.

6.3.  $SL(2,\mathbb{Z})$  and complete Grassmannians of submodules.

**Definition 6.8.** Given a finite dimensional **k**-algebra B and a finitely generated B-module M, the complete Grassmannian of submodules of M is the set

$$\operatorname{Gr}(M) = \bigsqcup_{\mathbf{e} \in K_0(\operatorname{mod-}B)} \operatorname{Gr}_{\mathbf{e}}(M).$$

Since M is finite dimensional, all but finitely many  $\mathrm{Gr}_{\mathbf{e}}(M)$  are empty so that  $\mathrm{Gr}(M)$  is a finite disjoint union of projective varieties. It is thus endowed with a structure of projective variety and we can consider its Euler-Poincaré characteristic  $\chi(\mathrm{Gr}(M)) = \sum_{\mathbf{e}} \chi(\mathrm{Gr}_{\mathbf{e}}(M)) \in \mathbb{Z}$ .

For modules over hereditary algebras, these numbers can be computed with friezes, as shown in [4, §4]. Here we prove that, for string modules over 2-Calabi-Yau tilted algebras, these numbers can be computed with products of matrices in  $SL(2,\mathbb{Z})$ .

If Q is any quiver, for any  $\beta \in Q_1$ , we define two matrices in  $SL(2,\mathbb{Z})$ :

$$a(\beta) = \left[\begin{array}{cc} 1 & 0 \\ 1 & 1 \end{array}\right] \text{ and } a(\beta^{-1}) = \left[\begin{array}{cc} 1 & 1 \\ 0 & 1 \end{array}\right]$$

and for any walk  $c = c_1 \cdots c_n$  in Q, we set

$$l_c = \left[ 1, 1 \right] \left( \prod_{i=1}^n a(c_i) \right) \left[ \begin{array}{c} 1 \\ 1 \end{array} \right] \in \mathbb{N}.$$

Finally, if c is a walk of length 0, we set  $l_c = 2$ .

**Proposition 6.9.** For any string module M over a 2-Calabi-Yau tilted algebra, we have

$$\chi(\operatorname{Gr}(M)) = l_{\mathbf{s}(M)}.$$

*Proof.* Let B be a 2-Calabi-Yau tilted algebra and let M be a string B-module. By definition, there exists a realisable quadruple  $(Q, \emptyset, \mathscr{C}, T)$  such that  $B = \operatorname{End}_{\mathscr{C}}(T)$ . According to Theorem 5.11, we have

$$X_M^T = \frac{1}{\mathbf{x}_Q^{\mathbf{n}_M}} L_M.$$

Consider the surjective morphism of  $\mathbb{Z}$ -algebras.

$$p: \left\{ \begin{array}{ccc} \mathscr{L}(\mathbf{x}_Q) & \longrightarrow & \mathbb{Z} \\ x_i & \mapsto & 1 & \text{for any } i \in Q_0. \end{array} \right.$$

Then it follows from the definition of the cluster character that  $p(X_M^T) = \chi(Gr(M))$ . We now prove that  $p(L_M) = l_{\mathbf{s}(M)}$ . If the string  $\mathbf{s}(M)$  of M is of length zero, M is simple and thus

$$\chi(Gr(M)) = \chi(Gr_{[0]}(M)) + \chi(Gr_{[M]}(M)) = 1 + 1 = 2 = l_{\mathbf{s}(M)}.$$

Otherwise, we write  $\mathbf{s}(M) = c_1 \cdots c_n$  with  $n \geq 1$ . Then, for any  $i \in \{1, \ldots, n\}$ , we have  $p(A(c_i)) = a(c_i)$  and  $p(V_c(s(c_i))) = p(V_c(t(c_i)))$  is the identity matrix. Since p is a ring homomorphism, it induces a ring homomorphism at the level of matrices and thus  $p(L_M) = p(L_c) = l_c$ . Since  $p(\frac{1}{\mathbf{x}_{O}^{n_M}}) = 1$ , it follows that

$$\chi(\operatorname{Gr}(M)) = p(X_M^T) = p(L_M) = l_{\mathbf{s}(M)}$$

and the proposition is proved.

**Example 6.10.** Let (S, M) be an unpunctured surface. Let  $\Gamma$  be a triangulation of (S, M), let  $(Q_{\Gamma}, W_{\Gamma})$  be the quiver with potential associated to this triangulation and let  $\mathscr{J}_{\Gamma} = \mathscr{J}_{(Q_{\Gamma}, W_{\Gamma})}$  be the corresponding Jacobian algebra. Then for any string module M over  $\mathscr{J}_{\Gamma}$ , we have  $\chi(\operatorname{Gr}(M)) = l_{\mathbf{s}(M)}$ .

Remark 6.11. If a marked surface (S,M) has punctures, Labardini also associated a quiver with potential  $(Q_{\Gamma},W_{\Gamma})$  to any triangulation  $\Gamma$  of (S,M) [41]. Nevertheless, it may be that the Jacobian algebra  $\mathscr{J}_{(Q_{\Gamma},W_{\Gamma})}$  is infinite dimensional, in which case Theorem 5.11 may not be used any longer. It was nevertheless observed by Lasnier that, in the case of the one-punctured torus (where the Jacobian algebra  $\mathscr{J}_{\Gamma}$  is infinite dimensional), Euler-Poincaré characteristics of complete Grassmannians of submodules of string  $\mathscr{J}_{\Gamma}$ -modules may still be computed with a formula similar to  $M \mapsto l_{\mathbf{s}(M)}$  [42]. It would thus be interesting to also investigate the case of infinite dimensional Jacobian algebras using for instance the methods provided by Plamondon [50].

# 7. More about the normalisation

We now describe some situations in which the normalisation can be omitted or computed combinatorially.

7.1. **The hereditary case.** We first observe that the normalisation can be omitted in several cases.

**Lemma 7.1.** Let  $(\mathcal{Q}, F, \mathcal{C}, T)$  be a realisable quadruple and let M be an unfrozen string module. If the full subcategory of mod- $B_T$  formed by modules which are supported on  $\overline{\text{supp}(M)}$  is hereditary, then  $\mathbf{n}_M = 0$ .

Proof. Let  $i \in \overline{\operatorname{supp}(M)}_0$ , since M and  $S_i$  are supported on  $\overline{\operatorname{supp}(M)}$ , the Euler form  $\langle S_i, M \rangle$  only depends on the dimension vectors. But since  $\widetilde{Q}_M$  is acyclic,  $\sum_{j \in \Phi_0^{-1}(i)} \left\langle S_j, \widetilde{M} \right\rangle$  also only depends on the dimension vectors, it thus follows that  $\langle S_i, M \rangle = \sum_{j \in \Phi_0^{-1}(i)} \left\langle S_j, \widetilde{M} \right\rangle$  and thus  $\mathbf{n}_M = 0$ .

**Corollary 7.2.** Let  $(\mathcal{Q}, F, \mathcal{C}, T)$  be a realisable quadruple such that  $B_T$  is hereditary. Then  $\mathbf{n}_M = 0$  for every unfrozen string module M.

7.2. The maximal factor method for modules over cluster-tilted algebras. For any walk c in a locally finite quiver Q we set

$$N_c = \begin{bmatrix} 1,1 \end{bmatrix} \left( \prod_{i=0}^n A(c_i) X_c(t(c_i)) \right) \begin{bmatrix} 1\\1 \end{bmatrix} \in \mathcal{L}(\mathbf{x}_Q)$$

so that (1.1) becomes

$$L_c = \frac{1}{\prod_{i=0}^{n} x_{t(c_i)}} N_c.$$

Let  $\eta_c \in \mathbb{N}^{Q_0}$  be such that

$$N_c = \mathbf{x}_Q^{\eta_c} P_c(\mathbf{x}_Q)$$

with  $P_c(\mathbf{x}_Q)$  not divisible by any  $x_i$  with  $i \in Q_0$ .

Let  $\mathscr{C}$  be a cluster category,  $T = T_1 \oplus \cdots \oplus T_n$  be a tilting object in  $\mathscr{C}$ , then  $B_T = \operatorname{End}_{\mathscr{C}}(T)$  is a cluster-tilted algebra and we denote by Q its ordinary quiver. Then  $(Q, \emptyset, \mathscr{C}, T)$  is a realisable quadruple and every  $B_T$ -module is unfrozen.

We now prove that for such modules, we can compute the normalisation combinatorially :

**Proposition 7.3.** Consider the above notations and assume moreover that  $\operatorname{End}_{\mathscr{C}}(T_i) \simeq \mathbf{k}$  for any  $i \in \{1, \ldots, n\}$ . Then for every rigid string  $B_T$ -module M we have  $\mathbf{n}_M = \eta_{\mathbf{s}(M)}$ .

*Proof.* For any Laurent polynomial  $L(x_1, \ldots, x_n) \in \mathbb{Z}[x_1^{\pm 1}, \ldots, x_n^{\pm 1}]$ , we denote by  $\delta(L) \in \mathbb{Z}^n$  its *denominator vector*, that is, the unique vector  $(d_1, \ldots, d_n)$  such that  $L(x_1, \ldots, x_n) = f(x_1, \ldots, x_n) / \prod_{i=1}^n x_i^{d_i}$  where  $f(x_1, \ldots, x_n)$  is a polynomial not divisible by any  $x_i$ .

Since  $\operatorname{End}_{\mathscr{C}}(T_i) \simeq \mathbf{k}$  for any  $i \in \{1, \ldots, n\}$ , it follows from [13] (see also [25]) that for any indecomposable rigid  $B_T$ -module

$$\delta(X_M^T) = (\dim \operatorname{Hom}_{\mathscr{C}}(T_i, \overline{M}))_{1 \le i \le n}$$

where  $\overline{M}$  is an indecomposable rigid object in  $\mathscr{C}$  such that  $\operatorname{Hom}_{\mathscr{C}}(T,\overline{M})=M$ .

Since  $\operatorname{Hom}_{\mathscr{C}}(T,-)$  induces an equivalence of categories  $\mathscr{C}/(\operatorname{add} T[1]) \simeq \operatorname{mod-} B_T$ , it follows that

$$\delta(X_M^T) = (\dim \operatorname{Hom}_{B_T}(P_i, M))_{1 \le i \le n}$$

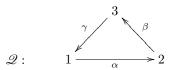
where  $P_i$  is the indecomposable projective  $B_T$ -module at the point i. For any  $i \in \{1, \ldots, n\}$ , the dimension of  $\operatorname{Hom}_{B_T}(P_i, M)$  is the multiplicity of the simple  $B_T$ -module  $S_i$  as a composition factor of M. If M is a string module, this multiplicity is also equal to the number of occurrences of i along the string  $\mathbf{s}(M)$ . Thus, if  $\mathbf{s}(M) = c_1 \cdots c_n$ , the denominator of  $X_M^T$  in its irreducible form is equal to  $\prod_{i=0}^n x_{t(c_i)}$ .

On the other hand the denominator of  $L_M$  (in its irreducible form) is  $\mathbf{x}^{-\eta_{\mathbf{s}(M)}} \prod_{i=0}^n x_{t(c_i)}$ . Now it follows from Theorem 5.11 that  $X_M^T = \frac{1}{\mathbf{x}^{\mathbf{n}_M}} L_M$  and thus the denominators coincide, that is to say  $\mathbf{n}_M = \eta_{\mathbf{s}(M)}$ .

# 8. Examples

8.1. A first example in type  $\mathbb{A}_2$ . We start with a very simple and detailed example which is nevertheless instructive in order to understand the behaviour of the normalisation.

We consider the frozen quiver  $(\mathcal{Q}, F)$  where



and  $F = \{3\}.$ 

The corresponding cluster algebra is of geometric type with initial seed  $(\mathbf{x}, \mathbf{y}, B)$  where  $\mathbf{x} = (x_1, x_2)$ ,  $\mathbf{y} = (x_3)$  and

$$B = \left[ \begin{array}{rrr} 0 & 1 \\ -1 & 0 \\ 1 & -1 \end{array} \right]$$

Its unfrozen part Q is of Dynkin type  $\mathbb{A}_2$ . Note that  $\mathscr{Q}$  is the ordinary quiver of a cluster-tilted algebra of type  $\mathbb{A}_3$  whose relations are  $\alpha\beta=\beta\gamma=\gamma\alpha=0$ . Thus  $(\mathscr{Q},F)$  can be embedded in a realisable quadruple  $(\mathscr{Q},F,\mathscr{C},T)$  where  $\mathscr{C}$  is a cluster category of type  $\mathbb{A}_3$ . There are three indecomposable modules supported on Q which are the simple  $S_1$ , the simple  $S_2$  and the projective  $\mathbf{k}Q$ -module  $P_1$  and all these modules are string modules.

Since  $(\mathcal{Q}, F)$  is not blown-up (there are two arrows entering or leaving the frozen point 3), we cannot simply apply Theorem 4.1. So we apply Theorem 5.11, which means that we need to consider normalising vectors.

The string of  $S_1$  is  $e_1$  and thus, applying formula (1.1) to  $S_1$ , we get  $L_{S_1} = \frac{x_2 + x_3}{x_1}$ . The quiver  $\widetilde{Q}_{S_1}$  is  $3^{\gamma;1} \longrightarrow v_1 \longrightarrow 2^{\alpha;1}$  and thus it is easily computed that  $\mathbf{n}_{S_1} = 0$  so that  $X_{S_1} = L_{S_1}$  which is indeed the cluster variable in  $\mathscr{A}(\mathcal{Q}, F)$  corresponding to the simple module  $S_1$ . We similarly prove that  $X_{S_2} = L_{S_2} = \frac{x_1 + x_3}{x_2}$ .

The case of  $P_1$  is slightly more instructive. We apply the matrix formula and we get  $L_{P_1} = \frac{x_3(x_1+x_2+x_3)}{x_1x_2}$ . We can now use Proposition 7.3 in order to conclude that the normalising factor is  $x_3$  but we do the computation in order to see the blow-up technique working. The quiver  $\sup(P_1)$  is  $\mathscr Q$  itself. Its blow-up along  $P_1$  is thus  $\widetilde{Q_{P_1}}:3^{\gamma;1}\longrightarrow v_1\longrightarrow v_2\longrightarrow 3^{\beta;2}$  and  $\widetilde{M}$  is the representation  $\widetilde{P_1}:0\longrightarrow \mathbf{k}\xrightarrow{1_\mathbf{k}}\mathbf{k}\longrightarrow 0$ . We compute that

$$\widetilde{L}_{\widetilde{P}_{1}} = \frac{x_{v_{1}}x_{3^{\gamma;1}} + x_{v_{2}}x_{3^{\beta;2}} + x_{3^{\gamma;1}}x_{3^{\beta;2}}}{x_{v_{1}}x_{v_{2}}}$$

so that the morphism  $\pi$  sending  $x_{v_1}$  to  $x_1$ ,  $x_{v_2}$  to  $x_2$  and  $x_{3^{\gamma;1}}, x_{3^{\beta;2}}$  to  $x_3$  satisfies  $\pi(\widetilde{L}_{\widetilde{M}}) = L_M$ . And computing directly, or applying Theorem 4.1, we get  $\widetilde{X}_{\widetilde{M}} = \widetilde{L}_{\widetilde{P}_1}$ .

The normalisation of M is  $\mathbf{n}_M = (0,0,1)$  (see Example 5.8) so that we get  $X_{P_1} = \frac{1}{x_3} L_{P_1} = \frac{x_1 + x_2 + x_3}{x_1 x_2}$  which is indeed the cluster variable in  $\mathscr{A}(\mathcal{Q}, F)$  corresponding to this module.

8.2. Regular cluster variables in type  $\widetilde{\mathbb{A}}$ . Let Q be a euclidean quiver of type  $\widetilde{\mathbb{A}}$  equipped with an acyclic orientation. In [5, Theorem 4], the authors provided a combinatorial formula for expressing all but finitely many cluster variables in the

coefficient-free cluster algebra associated to Q. The cluster variables they computed correspond in fact to the cluster characters associated to indecomposable postprojective  $\mathbf{k}Q^{\mathrm{op}}$ -modules. Dualising their methods, it is possible to compute the cluster characters associated to indecomposable preinjective  $\mathbf{k}Q^{\mathrm{op}}$ -modules. Their methods do not allow to compute the remaining cluster variables, namely those which correspond to regular rigid  $\mathbf{k}Q^{\mathrm{op}}$ -modules. We now use Theorem 4.1 to complete the formula and compute cluster variables associated to regular modules.

It is known that the Auslander-Reiten quiver of mod- $\mathbf{k}Q^{\mathrm{op}}$  contains at most two exceptional tubes (that is, tubes of rank  $\geq 2$ ) and all the rigid indecomposable regular modules belong to these tubes. Every indecomposable regular module (rigid or not) in such a tube is a string module and its string may be completely described. For simplicity, we only compute the cluster variables associated to quasi-simple regular modules in such tubes, this can easily be extended to any module in one of the exceptional tubes. It is well-known (see for instance [4, §5.2]) that any such module is of the form

$$\cdots 0 \stackrel{0}{\longleftrightarrow} \mathbf{k} \stackrel{1_k}{\longrightarrow} \mathbf{k} \stackrel{1_k}{\longrightarrow} \cdots \stackrel{1_k}{\longrightarrow} \mathbf{k} \stackrel{1_k}{\longleftrightarrow} \mathbf{k} \stackrel{0}{\longleftrightarrow} 0 \cdots$$

so that, if we depict locally the quiver  $Q^{op}$  by

$$\cdots 0 \longleftrightarrow 1 \xrightarrow{\alpha_1} 2 \xrightarrow{\alpha_2} \cdots \xrightarrow{\alpha_{n-2}} n - 1 \xrightarrow{\alpha_{n-1}} n \longleftrightarrow n + 1 \cdots,$$

the string is  $c = \alpha_1 \cdots \alpha_{n-1}$  and we compute

$$L_{c} = \frac{1}{\prod_{i=1}^{n+1} x_{i}} \begin{bmatrix} 1,1 \end{bmatrix} \begin{bmatrix} x_{0} & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \prod_{i=1}^{n-1} x_{i+1} & 0 \\ \sum_{j=1}^{n-1} \frac{\prod_{i=1}^{n} x_{i}}{x_{j}x_{j+1}} & \prod_{i=1}^{n-1} x_{i} \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & x_{n+1} \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix}.$$

Now, if M(c) denotes the quasi-simple regular module associated to the string c, then it follows from Lemma 7.1 that  $L_c$  is the cluster character corresponding to the module M(c) and thus, is a cluster variable in the cluster algebra  $\mathscr{A}(Q,\emptyset)$ .

8.3. An example for the *n*-Kronecker quiver with principal coefficients. Let  $n \ge 2$  and let  $K_n^{\rm pp}$  be the quiver

$$K_n^{\mathrm{pp}}: \qquad \begin{matrix} 1' & 2' \\ \downarrow & \downarrow \\ 1 & \xrightarrow{n} 2 \end{matrix}$$

where  $1 \xrightarrow{n} 2$  means that there are n arrows from 1 to 2. We set  $F = \{1', 2'\}$  so that  $K_n^{\text{pp}}$  is the principal extension of the n-Kronecker quiver  $K_n$ . We fix two arrows among the n arrows going from 1 to 2 which we denote by  $\alpha$  and  $\beta$ .

For any  $p \geq 1$ , we define a string representation  $M^p$  of  $K_n$  by setting  $M^p(1) = \mathbf{k}^p$ ,  $M^p(2) = \mathbf{k}^{p+1}$ ,  $M^p(\alpha) = 1_{\mathbf{k}^p} \oplus 0$  and  $M^p(\beta) = 0 \oplus 1_{\mathbf{k}^p}$  and we view  $M^p$  as a representation of  $K_n^{\mathrm{pp}}$  which is supported on the unfrozen part  $K_n$ . For any  $p \geq 1$ , the string  $\mathbf{s}(M^p)$  is  $(\alpha^{-1}\beta)^p$  so that it has length 2p.

We write  $y_1 = x_{1'}$  and  $y_2 = x_{2'}$ . Then, a direct computation shows that

$$(8.7) L_{M^p} = \frac{1}{x_1^p x_2^{p+1}} \begin{bmatrix} 1,1 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & y_2 x_1^{n-1} \end{bmatrix} \begin{bmatrix} y_1 + x_2^n & y_1 y_2 x_1^{n-1} \\ y_1 x_1 & y_1 y_2 x_1^n \end{bmatrix}^p \begin{bmatrix} 1 \\ x_1 \end{bmatrix}.$$

Applying Corollary 2.11, we see that there exists a realisable quadruple  $(K_n^{\text{pp}}, (K_n)'_0, \mathscr{C}, T)$ . Since  $K_n^{\text{pp}}$  is acyclic, it follows from [39] that  $B_T$  is hereditary and thus, Lemma 7.1 implies that the normalisation vanishes. Thus,  $X_{M^p}^T = L_{M^p}$  is given by the formula (8.7) for every  $p \geq 1$ .

8.4. Cyclic cluster-tilted algebras of Dynkin type  $\mathbb{D}$ . Let B be the quotient of the path algebra of the quiver

$$Q:$$
  $1 \xrightarrow{} 2 \xrightarrow{} 3 \xrightarrow{} \cdots \xrightarrow{} n$ 

by the ideal generated by all paths of length n-1. Here we suppose that  $n \geq 3$ . B is a cluster-tilted algebra of type  $\mathbb{D}_n$  if  $n \geq 4$  and a cluster-tilted algebra of type

 $\mathbb{A}_3$  if n=3. Let M be the indecomposable module given by the Loewy series  $\vdots$  m

where  $2 \le m \le n$ .

**Proposition 8.1.** Let Q denote the above quiver and let  $(\mathcal{Q}, F, \mathcal{C}, T)$  be a realisable quadruple with unfrozen part Q. For any  $i \in Q_0$ , let, as in Section 4.1,

$$y_i = \prod_{\alpha \in \mathscr{Q}_1(F,i)} x_{s(\alpha)} \quad \textit{and} \quad z_i = \prod_{\alpha \in \mathscr{Q}_1(i,F)} x_{t(\alpha)}.$$

Let M be as above. Then

(8.8) 
$$L_M = \sum_{\ell=1}^m \frac{x_1 x_2 \cdots x_{m+1}}{x_\ell x_{\ell+1}} \left( \prod_{i=2}^\ell y_i \right) \left( \prod_{i=\ell+1}^m z_i \right) \frac{1}{\prod_{j=2}^m x_j}$$

if m < n; and if n = m then  $L_M$  is given by (8.8) divided by  $x_1$ .

*Proof.* We proceed by induction on m. If m=2, then M is simple and  $L_M$  is given by the exchange relation

(8.9) 
$$L_M = (x_1 y_2 + x_3 z_2) \frac{1}{x_2}$$

On the other hand, (8.8) gives

$$\left(\frac{x_1x_2x_3}{x_1x_2}z_2 + \frac{x_1x_2x_3}{x_2x_3}y_2\right)\frac{1}{x_2},$$

which is equal to the right-hand side of equation (8.9).

Suppose now that m > 2. Let  $N_M$  be the numerator of the Laurent polynomial  $L_M$ . Our formula gives  $N_M$  as the product of 2m-1 matrices:

$$[1 \quad x_1] \begin{bmatrix} z_2 & 0 \\ 0 & y_2 \end{bmatrix} \cdots \begin{bmatrix} x_m & 0 \\ 1 & x_{m-1} \end{bmatrix} \begin{bmatrix} z_m & 0 \\ 0 & y_m \end{bmatrix} \begin{bmatrix} x_{m+1} \\ 1 \end{bmatrix}.$$

The product of the last three matrices in (8.10) is equal to

$$\left[\begin{array}{c} x_m x_{m+1} z_m \\ x_{m+1} z_m \ + \ x_{m-1} y_m \end{array}\right] = \left[\begin{array}{c} x_m \\ 1 \end{array}\right] x_{m+1} z_m \ + \ \left[\begin{array}{c} 0 \\ 1 \end{array}\right] x_{m-1} y_m.$$

Let us denote the product of the first 2m-4 matrices by  $\begin{bmatrix} a & b \end{bmatrix}$ , so

$$N_M = \begin{bmatrix} a & b \end{bmatrix} \begin{pmatrix} \begin{bmatrix} x_m \\ 1 \end{bmatrix} x_{m+1} z_m + \begin{bmatrix} 0 \\ 1 \end{bmatrix} x_{m-1} y_m \end{pmatrix}.$$

Now let M' be the indecomposable module given by the Loewy series

m \_ 1

Then, our formula gives  $N_{M'}$  as a product of 2m-3 matrices, and the first 2m-4 matrices are just the same as the first 2m-4 matrices in (8.10), and therefore

$$N_{M'} = \left[ \begin{array}{cc} a & b \end{array} \right] \left[ \begin{array}{c} x_m \\ 1 \end{array} \right].$$

Thus

$$N_M = N_{M'} x_{m+1} z_m + \begin{bmatrix} a & b \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} x_{m-1} y_m,$$

which, by induction, is equal to

$$\sum_{\ell=1}^{m-1} \frac{x_1 x_2 \cdots x_m}{x_\ell x_{\ell+1}} \left( \prod_{i=2}^{\ell} y_i \right) \left( \prod_{i=\ell+1}^{m-1} z_i \right) x_{m+1} z_m + \frac{x_1 x_2 \cdots x_m}{x_{m-1} x_m} \left( \prod_{i=2}^{m-1} y_i \right) x_{m-1} y_m,$$

which in turn is equal to

$$\sum_{\ell=1}^{m-1} \frac{x_1 x_2 \cdots x_{m+1}}{x_{\ell} x_{\ell+1}} \left( \prod_{i=2}^{\ell} y_i \right) \left( \prod_{i=\ell+1}^{m} z_i \right) + \frac{x_1 x_2 \cdots x_{m+1}}{x_m x_{m+1}} \left( \prod_{i=2}^{m} y_i \right),$$

and this shows the formula (8.8). The statement for m=n follows from the normalising factor.

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